

## REGULATION AND PROTECTION SUMMARY

|   | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>Recommended<br>FY 02 | Committee<br>Recommended<br>FY 03 | Leg. Change<br>FY 02 | Leg. Change<br>FY 03 |
|---|------------------------------------|------------------------------------|-----------------------------------|-----------------------------------|----------------------|----------------------|
| <b>General Fund</b>   |                                    |                                    |                                   |                                   |                      |                      |
| Department of Public Safety                                     | 137,190,135                        | 142,507,090                        | 137,021,375                       | 142,338,330                       | -168,760             | -168,760             |
| Police Officer Standards and Training Council                   | 2,603,018                          | 2,659,933                          | 2,653,018                         | 2,709,933                         | 50,000               | 50,000               |
| Board of Firearms Permit Examiners                              | 101,617                            | 104,617                            | 101,617                           | 104,617                           | 0                    | 0                    |
| Military Department   | 6,713,212                          | 6,902,100                          | 6,713,212                         | 6,902,100                         | 0                    | 0                    |
| Commission on Fire Prevention and Control                       | 2,370,455                          | 2,449,321                          | 2,370,455                         | 2,449,321                         | 0                    | 0                    |
| Department of Consumer Protection                               | 11,435,209                         | 11,860,317                         | 11,435,209                        | 11,860,317                        | 0                    | 0                    |
| Commission on Human Rights and Opportunities                    | 7,276,001                          | 7,503,650                          | 7,440,501                         | 7,741,775                         | 164,500              | 238,125              |
| Office of Protection and Advocacy for Persons with Disabilities | 2,813,857                          | 2,905,702                          | 2,898,857                         | 2,990,702                         | 85,000               | 85,000               |
| <b>Total General Fund</b>                                       | <b>170,503,504</b>                 | <b>176,892,730</b>                 | <b>170,634,244</b>                | <b>177,097,095</b>                | <b>130,740</b>       | <b>204,365</b>       |
| <b>Banking Fund</b>   |                                    |                                    |                                   |                                   |                      |                      |
| Department of Banking   | 14,970,204                         | 15,774,759                         | 14,970,204                        | 15,774,759                        | 0                    | 0                    |
| <b>Insurance Fund</b>   |                                    |                                    |                                   |                                   |                      |                      |
| Department of Insurance   | 21,487,530                         | 21,665,676                         | 20,801,277                        | 20,956,405                        | -686,253             | -709,271             |
| Office of the Managed Care Ombudsman                            | 0                                  | 0                                  | 686,253                           | 709,271                           | 686,253              | 709,271              |
| <b>Total Insurance Fund</b>                                     | <b>21,487,530</b>                  | <b>21,665,676</b>                  | <b>21,487,530</b>                 | <b>21,665,676</b>                 | <b>0</b>             | <b>0</b>             |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>          |                                    |                                    |                                   |                                   |                      |                      |
| Office of Consumer Counsel                                      | 2,616,873                          | 2,688,150                          | 2,780,011                         | 2,851,288                         | 163,138              | 163,138              |
| Department of Public Utility Control                            | 17,813,360                         | 18,555,042                         | 17,813,360                        | 18,555,042                        | 0                    | 0                    |
| <b>Total Consumer Counsel &amp; Public Util Control Fund</b>    | <b>20,430,233</b>                  | <b>21,243,192</b>                  | <b>20,593,371</b>                 | <b>21,406,330</b>                 | <b>163,138</b>       | <b>163,138</b>       |
| <b>Workers' Compensation Fund</b>                               |                                    |                                    |                                   |                                   |                      |                      |
| Workers' Compensation Commission                                | 18,514,978                         | 19,488,843                         | 22,937,966                        | 24,029,983                        | 4,422,988            | 4,541,140            |
| <b>Carry Forward - FY 01 Surplus Appropriations</b>             |                                    |                                    |                                   |                                   |                      |                      |
| Department of Public Safety                                     | 0                                  | 0                                  | 918,760                           | 1,700,000                         | 918,760              | 1,700,000            |
| Military Department   | 600,000                            | 0                                  | 600,000                           | 0                                 | 0                    | 0                    |
| Commission on Fire Prevention and Control                       | 100,000                            | 0                                  | 100,000                           | 0                                 | 0                    | 0                    |

## Department of Public Safety 2000

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                          | 1,840                          | 1,840   | 1,840                              | 1,840                              | 1,880              | 1,880              |
| Others Equated to Full-Time                  | 27                             | 27  | 27                                 | 27                                 | 27                 | 27                 |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                          | 120                            | 121   | 121                                | 121                                | 121                | 121                |
| <b>OPERATING BUDGET</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                        | 87,616,432                     | 93,500,000  | 105,976,039                        | 111,157,998                        | 105,976,039        | 111,157,998        |
| 002 Other Expenses                           | 16,120,153                     | 18,900,000  | 20,432,788                         | 20,492,814                         | 20,264,028         | 20,324,054         |
| 005 Equipment                                | 10,000                         | 10,000  | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 02X Other Current Expenses                   | 14,488,492                     | 12,874,089  | 10,741,616                         | 10,816,586                         | 10,741,616         | 10,816,586         |
| 6XX Grant Payments - Other than Towns        | 36,757                         | 38,692  | 38,692                             | 38,692                             | 38,692             | 38,692             |
| <b>Agency Total - General Fund</b>           | <b>118,271,834</b>             | <b>125,322,781</b>                                  | <b>137,190,135</b>                 | <b>142,507,090</b>                 | <b>137,021,375</b> | <b>142,338,330</b> |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations | 0                              | 0   | 0                                  | 0                                  | 918,760            | 1,700,000          |
| Special Funds, Non-Appropriated              | 472,708                        | 8,364,100   | 8,404,900                          | 8,135,580                          | 8,404,900          | 8,135,580          |
| Private Contributions                        | 14,120,651                     | 12,300,725  | 12,301,133                         | 12,496,196                         | 12,301,133         | 12,496,196         |
| Federal Contributions                        | 2,858,740                      | 8,559,171   | 9,724,000                          | 7,387,161                          | 9,724,000          | 7,387,161          |
| <b>Agency Grand Total</b>                    | <b>135,723,933</b>             | <b>154,546,777</b>                                  | <b>167,620,168</b>                 | <b>170,526,027</b>                 | <b>168,370,168</b> | <b>172,057,267</b> |
| <b>BUDGET BY PROGRAM</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>Police Services</b>                       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF          | 1,458/114                      | 1,458/115   | 1,458/115                          | 1,458/115                          | 1,498/115          | 1,498/115          |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 70,359,226                     | 75,082,000  | 87,602,597                         | 92,224,632                         | 87,602,597         | 92,224,632         |
| Other Expenses                               | 10,593,518                     | 12,488,000  | 14,274,761                         | 14,335,291                         | 14,106,001         | 14,166,531         |
| Equipment                                    | 10,000                         | 10,000  | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 011 Stress Reduction                         | 30,040                         | 74,089  | 49,136                             | 49,136                             | 49,136             | 49,136             |
| 013 Trooper Training Class                   | 60,179                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 014 Fleet Purchase                           | 4,832,089                      | 9,578,500   | 7,833,845                          | 7,833,845                          | 7,833,845          | 7,833,845          |
| 015 Sex Offender Registry                    | 29,605                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 039 Workers' Compensation Claims             | 0                              | 0   | 70,552                             | 72,245                             | 70,552             | 72,245             |
| 050 Year 2000 Conversion                     | 2,210,677                      | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>                  | <b>88,125,334</b>              | <b>97,232,589</b>                                   | <b>109,831,891</b>                 | <b>114,516,149</b>                 | <b>109,663,131</b> | <b>114,347,389</b> |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| LEA:FBI Field Police Training                | 0                              | 250,000   | 250,000                            | 0                                  | 250,000            | 0                  |
| Criminal Justice Statistics Dev.             | 41,267                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Natl Crime History Improvement               | 6,753                          | 250,000   | 121,000                            | 25,000                             | 121,000            | 25,000             |
| National Sex Offender Reg. Assist. Program   | 128,396                        | 475,225   | 0                                  | 0                                  | 0                  | 0                  |
| Drug Control & System Imprpr Gt              | 1,047,980                      | 268,746   | 0                                  | 0                                  | 0                  | 0                  |
| Violent Crime Control & Law Enf. Act         | 75,905                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Omnibus 98 Appropriations Act                | 106,530                        | 70,000  | 0                                  | 0                                  | 0                  | 0                  |
| State Identification Systems Grant Program   | 95,178                         | 1,300   | 0                                  | 0                                  | 0                  | 0                  |
| PS Partnership/Community Police              | 265,245                        | 4,249,500   | 7,249,500                          | 5,608,661                          | 7,249,500          | 5,608,661          |
| Drug Prevention Program                      | 302,567                        | 2,515,100   | 1,578,500                          | 1,578,500                          | 1,578,500          | 1,578,500          |
| State and Community Highway Safety           | 250,312                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Other Federal Assistance                     | 67,238                         | 320,000   | 420,000                            | 70,000                             | 420,000            | 70,000             |
| <b>Total - Federal Contributions</b>         | <b>2,387,371</b>               | <b>8,399,871</b>                                    | <b>9,619,000</b>                   | <b>7,282,161</b>                   | <b>9,619,000</b>   | <b>7,282,161</b>   |

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Additional Funds Available</b>                        |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations             | 0                              | 0   | 0                                  | 0                                  | 918,760            | 1,700,000          |
| Special Funds, Non-Appropriated                          | 33,312                         | 7,939,200   | 8,090,200                          | 7,820,880                          | 8,090,200          | 7,820,880          |
| Private Contributions                                    | 7,296,201                      | 6,176,727   | 6,178,977                          | 6,371,105                          | 6,178,977          | 6,371,105          |
| <b>Total - Additional Funds Available</b>                | <b>7,329,513</b>               | <b>14,115,927</b>                                   | <b>14,269,177</b>                  | <b>14,191,985</b>                  | <b>15,187,937</b>  | <b>15,891,985</b>  |
| <b>Total - All Funds</b>                                 | <b>97,842,218</b>              | <b>119,748,387</b>                                  | <b>133,720,068</b>                 | <b>135,990,295</b>                 | <b>134,470,068</b> | <b>137,521,535</b> |
| <b>Division of Fire, Emergency and Building Services</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                      | 119/5                          | 119/5   | 119/5                              | 119/5                              | 119/5              | 119/5              |
| <b>General Fund</b>                                      |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 5,437,231                      | 5,804,000   | 7,030,515                          | 7,411,699                          | 7,030,515          | 7,411,699          |
| Other Expenses   | 82,495                         | 96,500  | 97,880                             | 97,880                             | 97,880             | 97,880             |
| 014 Fleet Purchase                                       | 125,275                        | 248,000   | 201,730                            | 201,730                            | 201,730            | 201,730            |
| <b>Total - General Fund</b>                              | <b>5,645,001</b>               | <b>6,148,500</b>                                    | <b>7,330,125</b>                   | <b>7,711,309</b>                   | <b>7,330,125</b>   | <b>7,711,309</b>   |
| <b>Federal Contributions</b>                             |                                |   |                                    |                                    |                    |                    |
| Drug Control & System Impr Gt                            | 21,103                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Other Federal Assistance                                 | 352                            | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>                     | <b>21,455</b>                  | <b>0</b>  | <b>0</b>                           | <b>0</b>                           | <b>0</b>           | <b>0</b>           |
| <b>Additional Funds Available</b>                        |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                    | 5,833,586                      | 6,031,000   | 6,031,000                          | 6,031,000                          | 6,031,000          | 6,031,000          |
| <b>Total - All Funds</b>                                 | <b>11,500,042</b>              | <b>12,179,500</b>                                   | <b>13,361,125</b>                  | <b>13,742,309</b>                  | <b>13,361,125</b>  | <b>13,742,309</b>  |
| <b>Forensic Science Laboratory</b>                       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF                         | 88                             | 88  | 88                                 | 88                                 | 88                 | 88                 |
| <b>General Fund</b>                                      |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 4,139,635                      | 4,420,000   | 5,360,562                          | 5,608,820                          | 5,360,562          | 5,608,820          |
| Other Expenses   | 715,798                        | 832,500   | 771,750                            | 771,649                            | 771,750            | 771,649            |
| 014 Fleet Purchase                                       | 15,901                         | 30,000  | 25,606                             | 25,606                             | 25,606             | 25,606             |
| 015 Sex Offender Registry                                | 2,022                          | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 050 Year 2000 Conversion                                 | 40,586                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>                              | <b>4,913,942</b>               | <b>5,282,500</b>                                    | <b>6,157,918</b>                   | <b>6,406,075</b>                   | <b>6,157,918</b>   | <b>6,406,075</b>   |
| <b>Federal Contributions</b>                             |                                |   |                                    |                                    |                    |                    |
| Criminal Justice Statistics Dev.                         | 85,331                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Drug Control & System Impr Gt                            | 170                            | 0   | 0                                  | 0                                  | 0                  | 0                  |
| State Identification Systems Grant Program               | 73,779                         | 36,000  | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>                     | <b>159,280</b>                 | <b>36,000</b>                                       | <b>0</b>                           | <b>0</b>                           | <b>0</b>           | <b>0</b>           |
| <b>Additional Funds Available</b>                        |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                    | 1,691                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Total - All Funds</b>                                 | <b>5,074,913</b>               | <b>5,319,500</b>                                    | <b>6,158,918</b>                   | <b>6,407,075</b>                   | <b>6,158,918</b>   | <b>6,407,075</b>   |
| <b>Management Services</b>                               |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                      | 175/1                          | 175/1   | 175/1                              | 175/1                              | 175/1              | 175/1              |
| <b>General Fund</b>                                      |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 7,680,340                      | 8,194,000   | 9,488,575                          | 10,042,995                         | 9,488,575          | 10,042,995         |
| Other Expenses   | 4,728,342                      | 5,483,000   | 5,288,397                          | 5,287,994                          | 5,288,397          | 5,287,994          |
| 011 Stress Reduction                                     | 2,579                          | 0   | 4,218                              | 4,218                              | 4,218              | 4,218              |
| 013 Trooper Training Class                               | 2,638                          | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 014 Fleet Purchase                                       | 72,389                         | 143,500   | 116,567                            | 116,567                            | 116,567            | 116,567            |
| 017 Gun Law Enforcement Task Force                       | 0                              | 400,000   | 500,000                            | 500,000                            | 500,000            | 500,000            |
| 019 Litigation Settlement Costs                          | 3,500,000                      | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 039 Workers' Compensation Claims                         | 2,452,075                      | 2,400,000   | 1,939,962                          | 2,013,239                          | 1,939,962          | 2,013,239          |
| 050 Year 2000 Conversion                                 | 1,112,437                      | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Grant Payments - Other Than Towns</b>                 |                                |   |                                    |                                    |                    |                    |
| Civil Air Patrol   | 36,757                         | 38,692  | 38,692                             | 38,692                             | 38,692             | 38,692             |
| <b>Total - General Fund</b>                              | <b>19,587,557</b>              | <b>16,659,192</b>                                   | <b>17,376,411</b>                  | <b>18,003,705</b>                  | <b>17,376,411</b>  | <b>18,003,705</b>  |
| <b>Federal Contributions</b>                             |                                |   |                                    |                                    |                    |                    |
| Natl Crime History Improvement                           | 129,935                        | 30,000  | 30,000                             | 30,000                             | 30,000             | 30,000             |
| Drug Control & System Impr Gt                            | 75,084                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Violent Crime Control & Law Enf. Act                     | 324                            | 10,000  | 0                                  | 0                                  | 0                  | 0                  |
| Omnibus 98 Appropriations Act                            | 9,247                          | 8,300   | 0                                  | 0                                  | 0                  | 0                  |
| State Identification Systems Grant Program               | 1,862                          | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Other Federal Assistance                                 | 74,182                         | 75,000  | 75,000                             | 75,000                             | 75,000             | 75,000             |
| <b>Total - Federal Contributions</b>                     | <b>290,634</b>                 | <b>123,300</b>                                      | <b>105,000</b>                     | <b>105,000</b>                     | <b>105,000</b>     | <b>105,000</b>     |

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Additional Funds Available</b>                    |                                |   |                                    |                                    |                    |                    |
| Special Funds, Non-Appropriated                      | 439,396                        | 424,900   | 314,700                            | 314,700                            | 314,700            | 314,700            |
| Private Contributions                                | 989,173                        | 91,998  | 90,156                             | 93,091                             | 90,156             | 93,091             |
| <b>Total - Additional Funds Available</b>            | <b>1,428,569</b>               | <b>516,898</b>                                      | <b>404,856</b>                     | <b>407,791</b>                     | <b>404,856</b>     | <b>407,791</b>     |
| <b>Total - All Funds</b>                             | <b>21,306,760</b>              | <b>17,299,390</b>                                   | <b>17,886,267</b>                  | <b>18,516,496</b>                  | <b>17,886,267</b>  | <b>18,516,496</b>  |
| <b>Personal Services Reductions</b>                  |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                                  |                                |   |                                    |                                    |                    |                    |
| Personal Services                                    | 0                              | 0   | -1,006,210                         | -1,630,148                         | -1,006,210         | -1,630,148         |
| Less: Turnover - Personal Services - GF              | 0                              | 0   | -2,500,000                         | -2,500,000                         | -2,500,000         | -2,500,000         |
| <b>GRANT PAYMENTS - OTHER THAN TOWNS<br/>(Recap)</b> |                                |   |                                    |                                    |                    |                    |
| 601 Civil Air Patrol                                 | 36,757                         | 38,692  | 38,692                             | 38,692                             | 38,692             | 38,692             |
| <b>EQUIPMENT</b>                                     |                                |   |                                    |                                    |                    |                    |
| 005 Equipment  | 10,000                         | 10,000  | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                            | <b>135,723,933</b>             | <b>154,546,777</b>                                  | <b>167,620,168</b>                 | <b>170,526,027</b>                 | <b>168,370,168</b> | <b>172,057,267</b> |

**BUDGET CHANGES**

|  | Governor's FY 02 |                    | Governor's FY 03 |                    | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|--------------------|------------------|--------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount             | Pos.             | Amount             | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>1,840</b>     | <b>121,805,164</b> | <b>1,840</b>     | <b>121,805,164</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                    |                  |                    |                   |          |                   |          |
| Personal Services                              | 0                | 10,884,429         | 0                | 16,502,717         | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 407,029            | 0                | 850,203            | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 1,182,975          | 0                | 1,184,787          | 0                 | 0        | 0                 | 0        |
| Stress Reduction                               | 0                | 1,227              | 0                | 2,537              | 0                 | 0        | 0                 | 0        |
| Fleet Purchase                                 | 0                | 3,679,631          | 0                | 3,921,182          | 0                 | 0        | 0                 | 0        |
| Gun Law Enforcement Task Force                 | 0                | 11,500             | 0                | 23,776             | 0                 | 0        | 0                 | 0        |
| Workers' Compensation Claims                   | 0                | 57,948             | 0                | 119,806            | 0                 | 0        | 0                 | 0        |
| Civil Air Patrol                               | 0                | 890                | 0                | 1,840              | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>16,225,629</b>  | <b>0</b>         | <b>22,606,848</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Provide FY 01 Surplus and General Funds for New Trooper Training Classes - (B)**

CGS Section 29-4 requires the department to maintain a minimum of 1,248 sworn state police officers by July 1, 2001. As of February 2001 there were about 1,161 state police officers with another 29 in training to graduate and become sworn troopers in June 2001. At that time there will be an estimated 1,182 troopers, 66 under the minimum required by statute. This assumes an anticipated attrition rate of 24 per year.

-(Governor) The Governor's budget indicates that sufficient funding is provided for two trooper-training classes that are necessary for the department to maintain its minimum sworn officer staffing level of 1,248. The budget is sufficient to provide the first training class of 100 persons, to begin in July 2001, and expected to graduate about 95 troopers in December 2001. This would bring the number of troopers to about 1,265 by January 1, 2002.

| Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
| Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

This means that for the six months from July 1, 2001 to January 1, 2002, the department will be below the 1,248-requirement. In order to protect the state from potential liability, OFA recommends that the July 1, 2001 date be amended and delayed to January 1, 2002.

The governor's budget document indicates that sufficient funding is provided for the second training class, of 50 persons, to begin in December 2001, and expected to graduate about 48 troopers in June 2002, bringing the number of troopers to about 1,300. Again assuming an attrition rate of 24 officers per year, no training class would be required in FY 03. By July 1, 2003, the number of troopers would be about 1,276.

However, review of the department's Personal Services funding indicates that there are not sufficient funds for this second training class. If a second training class were not provided over the 2001-2003 Biennium, the number of troopers would decline to an estimated 1,253 by July 1, 2002, and to 1,229 by July 1, 2003. If a training class does not begin until July 1, 2003, then the number could decline to 1,217 by December 2003 prior to the graduation of that class.

The projected number of troopers is based on the historical attrition rate and the anticipated number of graduates from the training classes. The actual number of troopers leaving the state police force and graduating from training classes will determine the actual number of staff at these times. In order to maintain the 1,248-minimum staffing-level, the six-month training classes should begin when the number of troopers declines to 1,260.

In addition, the position count has not been increased in order to accommodate the number of positions necessary to *maintain a minimum* of 1,248 state police officers. It should also be noted that many of these additional troopers would be funded through a federal community-policing grant for three years. These funds are deposited to the General Fund as revenue.

-(Committee) FY 01 surplus funds in the amount of \$2,450,000 are provided for the second trooper training class (of 50 persons) that is called for, but not funded in the governor's budget. This is distributed as follows: In FY 02, \$700,000 for Personal Services and \$50,000 for Other Expenses, and in FY 03, \$1,350,000 in Personal Services, \$200,000 in Other Expenses and \$150,000 in the Gun Law Enforcement Task Force. The agency's position count is also increased by 40, in order to accommodate the number of state troopers required to be employed to keep the force above the 1,248-minimum. This funding will allow the department to meet the statutory requirement through the end of FY 03. It should also be noted that these positions are eligible for federal grants of \$75,000 per officer over three years through the COPS program. These funds will be deposited in the General Fund as revenue.

However, the 1,248-minimum staffing level will not be reached until January 2001. In order to protect the state from potential liability, it is recommended that the July 1, 2001 date be amended and delayed to January

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

1, 2002. In addition, two of the officers graduating from the second training class are assigned to the Gun Law Enforcement Task Force, to increase the unit's activities in preventing illegal trafficking of firearms.

The projected number of troopers is based on the historical attrition rate and the anticipated number of graduates from the training classes. The actual number of troopers leaving the state police force and graduating from training classes will determine the actual number of staff at these times. In order to maintain the 1,248-minimum staffing-level, the six-month training classes should begin when the number of troopers declines to 1,260. The authorization and funding of one 50-person class for each future biennium could provide sufficient numbers of new officers to offset the number who leave the state police force.

|   |          |                  |          |                  |           |                |           |                  |
|---|----------|------------------|----------|------------------|-----------|----------------|-----------|------------------|
| Personal Services   | 0        | 3,500,000        | 0        | 3,700,000        | 40        | 0              | 40        | 0                |
| Other Expenses  | 0        | 400,000          | 0        | 400,000          | 0         | 0              | 0         | 0                |
| <b>Total - General Fund</b>                                 | <b>0</b> | <b>3,900,000</b> | <b>0</b> | <b>4,100,000</b> | <b>40</b> | <b>0</b>       | <b>40</b> | <b>0</b>         |
| Carry Forward - FY 01 Surplus Appropriations                | 0        | 0                | 0        | 0                | 0         | 750,000        | 0         | 1,700,000        |
| <b>Total - Carry Forward - FY 01 Surplus Appropriations</b> | <b>0</b> | <b>0</b>         | <b>0</b> | <b>0</b>         | <b>0</b>  | <b>750,000</b> | <b>0</b>  | <b>1,700,000</b> |

#### Provide Funding for Radio System Maintenance - (B)

The department's new Connecticut Telecommunications System (CTS), which is their new radio system, has come on line and became fully operational in FY 01. It provides at least 98% coverage over the entire geographic area of the state. The system utilizes computer-aided dispatch and provides a records management system.

-(Governor) Funding in the amounts of \$2,217,844 in FY 02 and \$2,284,757 in FY 03 is provided for the maintenance costs associated with the new CTS. This is provided as a current services adjustment in the governor's budget, but is shown here for information purposes. The system is already operational and the state has a contractual obligation to pay these funds for maintenance and operational costs.

-(Committee) Same as Governor.

|                             |          |                  |          |                  |          |          |          |          |
|-----------------------------|----------|------------------|----------|------------------|----------|----------|----------|----------|
| Other Expenses              | 0        | 2,217,844        | 0        | 2,284,757        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>2,217,844</b> | <b>0</b> | <b>2,284,757</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Provide FY 01 Surplus and General Funds for Helicopter - (B)

The Department of Public Safety purchased a helicopter from \$1.3 million in bond funds made available in October 2000. The department purchased a single-engine Bell 407 helicopter, and will use about \$900,000 in funding from federal, drug assets forfeiture, and other funds to outfit the aircraft with radio communications equipment, navigation equipment, infrared detection, spotlights and a public address system. The primary public safety uses are (1) search and rescue, (2) crime prevention, (3) emergency transportation, (4) photography, (5) supporting and coordinating patrol, (6) assist to other public agencies, and (7) vehicle pursuits. The department currently has two trained helicopter pilots.

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

-(Governor) Funding in the amount of \$368,760 is provided for both FY 02 and FY 03 for the operating costs of the new helicopter. The department has projected that it would use the helicopter about 500 hours per year, and that the estimated operating costs are \$400 per hour (\$200,000 per year). The additional \$168,760 per year would be used fund equipment and overtime costs for the pilots, as well as additional flight time if necessary. The aircraft is anticipated to become operational in July 2001.

-(Committee) Funding in the amounts of \$368,760 in FY 02 and \$200,000 in FY 03 is provided for equipment and the operating costs of the new helicopter. \$200,000 is provided in General Funds for each year for operating costs. The department has projected that it would use the helicopter about 500 hours per year, and that the estimated operating costs are \$400 per hour. An additional \$168,760 is provided in FY 02, from FY 01 surplus funds, that would be used fund one-time equipment and flight certification costs for the pilots. Additional funding for operating costs might be required in future years as the department learns how much the craft will actually be used. The helicopter is anticipated to become operational in July 2001.

|   |          |                |          |                |          |                 |          |                 |
|---|----------|----------------|----------|----------------|----------|-----------------|----------|-----------------|
| Other Expenses  | 0        | 368,760        | 0        | 368,760        | 0        | -168,760        | 0        | -168,760        |
| <b>Total - General Fund</b>                                 | <b>0</b> | <b>368,760</b> | <b>0</b> | <b>368,760</b> | <b>0</b> | <b>-168,760</b> | <b>0</b> | <b>-168,760</b> |
| Carry Forward - FY 01 Surplus Appropriations                | 0        | 0              | 0        | 0              | 0        | 168,760         | 0        | 0               |
| <b>Total - Carry Forward - FY 01 Surplus Appropriations</b> | <b>0</b> | <b>0</b>       | <b>0</b> | <b>0</b>       | <b>0</b> | <b>168,760</b>  | <b>0</b> | <b>0</b>        |

#### Fund Excess Energy Costs from FY 01 Surplus - (B)

Fuel and utility costs have increased significantly over the last year and are anticipated to exceed the standard rate of inflation in the foreseeable future.

-(Governor) Funding for the agency's fuel and utility costs are reduced by \$2,061,852 in FY 02 and \$2,064,414 in FY 03. Energy costs are anticipated to increase more than the standard rate of inflation. The excess cost increases are to be funded through FY01 surplus funds provided in a \$41 million Energy Contingency Fund in the Office of Policy and Management. Sections 47 and 50 of HB 6668, the Appropriations Act, authorize this. Statewide, the budget reductions are \$13.1 million in FY 02, about \$10.3 million in the General Fund and \$2.8 million in the Special Transportation Fund, and \$13.7 million in FY 03, about \$10.9 million in the General Fund and \$2.8 million in the Special Transportation Fund.

-(Committee) Same as Governor.

|                             |          |                   |          |                   |          |          |          |          |
|-----------------------------|----------|-------------------|----------|-------------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -2,061,852        | 0        | -2,064,414        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-2,061,852</b> | <b>0</b> | <b>-2,064,414</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Continue Allotment Reductions - Fleet Purchase - (B)

In FY 01, the governor implemented allotment reductions totaling \$48.5 million statewide in various accounts and programs, in order to keep state expenditures beneath the spending cap.

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

-(Governor) Allotment reductions are continued for this agency. An amount of \$1,700,000 is removed from the Fleet Purchase account in both FY 02 and FY 03. When the elimination of the inflationary increase is included (see item below), the reduction is \$1,886,875 in FY 02 and \$2,128,426 in FY 03. Funding of \$8,177,748 is provided in each year of the biennium. This will require the department to utilize their patrol cars for a longer time, increasing maintenance costs and the breakdown rate, and reducing the value of the cars when resold. The department estimates that this would increase the retention of patrol cars from 3 years to 4 years, and increase the replacement mileage from 75,000 to 100,000 miles. The department's vehicles are provided through the Department of Administrative Services' General Services Revolving Fund. Statewide, the continued allotment reductions are over \$9.4 million, including almost \$6.5 million in the General Fund and \$2.5 million in the Special Transportation Fund.

-(Committee) Same as Governor.

|                             |          |                   |          |                   |          |          |          |          |
|-----------------------------|----------|-------------------|----------|-------------------|----------|----------|----------|----------|
| Fleet Purchase              | 0        | -1,700,000        | 0        | -1,700,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,700,000</b> | <b>0</b> | <b>-1,700,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Replace Equipment through the Capital Equipment Purchase Fund - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$1,191,975 in FY 02 and by \$1,193,787 in FY 03. Most of the equipment is for information technology and computers. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |                   |          |                   |          |          |          |          |
|-----------------------------|----------|-------------------|----------|-------------------|----------|----------|----------|----------|
| Equipment                   | 0        | -1,191,975        | 0        | -1,193,787        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,191,975</b> | <b>0</b> | <b>-1,193,787</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |



|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

#### Reduce Personal Services Funding through a General Personal Services Cut - (B)

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1½%) in FY 03, reducing the agency's budget by \$1,006,210 in FY 02 and by \$1,630,148 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The total turnover and Personal Services reductions in the agency are \$3,506,210 in FY 02 and \$4,130,148 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$1,165,736 in FY 02 and by \$1,222,738 in FY 03.

-(Committee) Same as Governor.

|                             |          |                   |          |                   |          |          |          |          |
|-----------------------------|----------|-------------------|----------|-------------------|----------|----------|----------|----------|
| Personal Services           | 0        | -1,006,210        | 0        | -1,630,148        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,006,210</b> | <b>0</b> | <b>-1,630,148</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Reduce Workers' Compensation Claims by Transferring Old Cases to a Private Insurance Company - (B)

The state is self-insured for the Workers' Compensation (WC) Claims costs for its employees. Appropriations for WC Claims costs are made to the five state agencies with the greatest WC costs and to the Department of Administrative Services WC Claims account for all other state agencies. The agencies receiving direct appropriations are the Departments of Correction, Mental Retardation, Mental Health and Addiction Services, Children and Families, and Public Safety. The total state WC Claims expenditures for FY 00 were about \$53.4 million.

-(Governor) Funding for the agency's Workers' Compensation Claims payments is reduced by \$566,931 in FY 02 and by \$553,819 in FY 03 as the result of transferring the 700 oldest and most expensive claims to a private insurance company. It is estimated that 10% of claims account for 25% of the annual costs. These claims are estimated to have a total liability of \$127 million to \$160 million. It is anticipated that a private insurer would take responsibility for these claims for a cost of about \$80 million. This would be paid from \$60 million of bonding (to the Department of Administrative Services) and \$20 million in FY 01 surplus funds. Statewide, the cost reductions to operating budgets are about \$13.5 million in both FY 02 and FY 03, about \$12.6 million in the General Fund and about \$0.9 million in the Special Transportation Fund. Each agency's WC Claims appropriation is reduced by about 22% in FY 02 and by about 21% in FY 03.

-(Committee) Same as Governor.

|                              |          |                 |          |                 |          |          |          |          |
|------------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Workers' Compensation Claims | 0        | -566,931        | 0        | -553,819        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b>  | <b>0</b> | <b>-566,931</b> | <b>0</b> | <b>-553,819</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

| Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
| Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

#### Adjust Funding to Reflect the Receipt of Indirect Cost Payments from Casinos - (B)

The three state agencies that regulate the operation of the casinos, the Department of Public Safety, the Division of Special Revenue and the Department of Consumer Protection, are reimbursed for their expenses by the casinos. The agencies are currently net budgeted for their direct costs (salaries of the personnel), the moneys directly provided to these agencies as a reimbursement to their budgets. However, they are gross budgeted for their indirect costs (other expenses and administrative support and overhead), the moneys deposited to the General Fund as revenue.

-(Governor) Funding is removed in the amount of \$410,862 in FY 02 and \$431,384 in FY 03 to reflect the receipt of reimbursements from the casinos for the department's indirect costs. The department has 37 state police officers dedicated to regulating activities at the two casinos, primarily for conducting background investigations on employees and vendors. It currently receives about \$5 million in reimbursements for direct costs. This change requires the passage of HB 6672, "An Act Concerning Indirect Cost Recoveries." It would result in a revenue loss to the General Fund totaling \$1,011,809 in FY 02 and \$1,066,445 in FY 03 (the total amount of budget reductions in the three agencies that regulate the casinos).

-(Committee) Same as Governor.

|                             |          |                 |          |                 |          |          |          |          |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -300,000        | 0        | -300,000        | 0        | 0        | 0        | 0        |
| Other Expenses              | 0        | -110,862        | 0        | -131,384        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-410,862</b> | <b>0</b> | <b>-431,384</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$332,602 in FY 02 and by \$815,174 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                                |          |                 |          |                   |          |          |          |          |
|--------------------------------|----------|-----------------|----------|-------------------|----------|----------|----------|----------|
| Other Expenses                 | 0        | -132,110        | 0        | -559,087          | 0        | 0        | 0        | 0        |
| Stress Reduction               | 0        | -1,227          | 0        | -2,537            | 0        | 0        | 0        | 0        |
| Fleet Purchase                 | 0        | -186,875        | 0        | -428,426          | 0        | 0        | 0        | 0        |
| Gun Law Enforcement Task Force | 0        | -11,500         | 0        | -23,776           | 0        | 0        | 0        | 0        |
| Civil Air Patrol               | 0        | -890            | 0        | -1,840            | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b>    | <b>0</b> | <b>-332,602</b> | <b>0</b> | <b>-1,015,666</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|   | Governor's FY 02 |                    | Governor's FY 03 |                    | Leg. Change FY 02 |                 | Leg. Change FY 03 |                  |
|---|------------------|--------------------|------------------|--------------------|-------------------|-----------------|-------------------|------------------|
|   | Pos.             | Amount             | Pos.             | Amount             | Pos.              | Amount          | Pos.              | Amount           |
| <b>Delay Pay Increases for Non-Union Employees - (B)</b>  |                  |                    |                  |                    |                   |                 |                   |                  |
| State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining. |                  |                    |                  |                    |                   |                 |                   |                  |
| -(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$56,830 in FY 02 and \$69,221 in FY 03 by delaying annual salary increases for six months.             |                  |                    |                  |                    |                   |                 |                   |                  |
| -(Committee) Same as Governor.  |                  |                    |                  |                    |                   |                 |                   |                  |
| Personal Services   | 0                | -56,830            | 0                | -69,221            | 0                 | 0               | 0                 | 0                |
| <b>Total - General Fund</b>   | <b>0</b>         | <b>-56,830</b>     | <b>0</b>         | <b>-69,221</b>     | <b>0</b>          | <b>0</b>        | <b>0</b>          | <b>0</b>         |
| <b>Budget Totals - GF</b>   | <b>1,840</b>     | <b>137,190,135</b> | <b>1,840</b>     | <b>142,507,090</b> | <b>40</b>         | <b>-168,760</b> | <b>40</b>         | <b>-168,760</b>  |
| <b>Budget Totals - OF</b>   | <b>0</b>         | <b>0</b>           | <b>0</b>         | <b>0</b>           | <b>0</b>          | <b>918,760</b>  | <b>0</b>          | <b>1,700,000</b> |

[1] Excess FY 01 funding in the amount of \$2,740,000 is transferred from this agency to the Medicaid account in the Department of Social Services in accordance with Sec. 19 of HB 6669 (the Deficiency Bill). This funding is available for transfer because of holdbacks in various accounts required by the Office of Policy and Management. The total amount is comprised of \$1,740,000 in the Fleet Purchase account and \$1,000,000 in Personal Services.

## Police Officer Standards and Training Council 2003

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                  |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                      |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                      | 27                             | 27  | 27                                 | 27                                 | 27                 | 27                 |
| Others Equated to Full-Time              | 3                              | 3   | 3                                  | 3                                  | 3                  | 3                  |
| <b>OPERATING BUDGET</b>                  |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                      |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                    | 1,483,282                      | 1,585,000   | 1,673,315                          | 1,749,394                          | 1,673,315          | 1,749,394          |
| 002 Other Expenses                       | 905,544                        | 825,000   | 928,703                            | 909,539                            | 928,703            | 909,539            |
| 005 Equipment                            | 120,782                        | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 02X Other Current Expenses               | 0                              | 250,000   | 0                                  | 0                                  | 0                  | 0                  |
| 6XX Grant Payments - Other than Towns    | 40,000                         | 38,000  | 0                                  | 0                                  | 0                  | 0                  |
| 7XX Grant Payments - To Towns            | 0                              | 0   | 0                                  | 0                                  | 50,000             | 50,000             |
| <b>Agency Total - General Fund</b>       | <b>2,549,608</b>               | <b>2,699,000</b>                                    | <b>2,603,018</b>                   | <b>2,659,933</b>                   | <b>2,653,018</b>   | <b>2,709,933</b>   |
| <b>Additional Funds Available</b>        |                                |   |                                    |                                    |                    |                    |
| Private Contributions                    | 30,032                         | 20,528  | 0                                  | 0                                  | 0                  | 0                  |
| Federal Contributions                    | 97,810                         | 61,582  | 0                                  | 0                                  | 0                  | 0                  |
| <b>Agency Grand Total</b>                | <b>2,677,450</b>               | <b>2,781,110</b>                                    | <b>2,603,018</b>                   | <b>2,659,933</b>                   | <b>2,653,018</b>   | <b>2,709,933</b>   |
| <b>BUDGET BY PROGRAM</b>                 |                                |   |                                    |                                    |                    |                    |
| <b>Instruction</b>                       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF         | 20                             | 20  | 20                                 | 20                                 | 20                 | 20                 |
| <b>General Fund</b>                      |                                |   |                                    |                                    |                    |                    |
| Personal Services                        | 1,178,892                      | 1,250,000   | 1,315,998                          | 1,381,137                          | 1,315,998          | 1,381,137          |
| Other Expenses                           | 747,218                        | 658,400   | 740,110                            | 720,946                            | 740,110            | 720,946            |
| Equipment                                | 120,782                        | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 011 Firearms Training Simulator          | 0                              | 250,000   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>              | <b>2,046,892</b>               | <b>2,159,400</b>                                    | <b>2,057,108</b>                   | <b>2,103,083</b>                   | <b>2,057,108</b>   | <b>2,103,083</b>   |
| <b>Federal Contributions</b>             |                                |   |                                    |                                    |                    |                    |
| Drug Control & System Imprpr Gt          | 97,810                         | 61,582  | 0                                  | 0                                  | 0                  | 0                  |
| <b>Additional Funds Available</b>        |                                |   |                                    |                                    |                    |                    |
| Private Contributions                    | 30,032                         | 20,528  | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - All Funds</b>                 | <b>2,174,734</b>               | <b>2,241,510</b>                                    | <b>2,057,108</b>                   | <b>2,103,083</b>                   | <b>2,057,108</b>   | <b>2,103,083</b>   |
| <b>Management Services</b>               |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF         | 7                              | 7   | 7                                  | 7                                  | 7                  | 7                  |
| <b>General Fund</b>                      |                                |   |                                    |                                    |                    |                    |
| Personal Services                        | 304,390                        | 335,000   | 373,205                            | 393,911                            | 373,205            | 393,911            |
| Other Expenses                           | 158,326                        | 166,600   | 188,593                            | 188,593                            | 188,593            | 188,593            |
| <b>Grant Payments - Other Than Towns</b> |                                |   |                                    |                                    |                    |                    |
| Alzheimer's Association                  | 40,000                         | 38,000  | 0                                  | 0                                  | 0                  | 0                  |
| <b>Grant Payments - To Towns</b>         |                                |   |                                    |                                    |                    |                    |
| Training at Satellite Academies          | 0                              | 0   | 0                                  | 0                                  | 50,000             | 50,000             |
| <b>Total - General Fund</b>              | <b>502,716</b>                 | <b>539,600</b>                                      | <b>561,798</b>                     | <b>582,504</b>                     | <b>611,798</b>     | <b>632,504</b>     |
| <b>Personal Services Reductions</b>      |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                      |                                |   |                                    |                                    |                    |                    |
| Personal Services                        | 0                              | 0   | -15,888                            | -25,654                            | -15,888            | -25,654            |
| <b>GRANT PAYMENTS - OTHER THAN TOWNS</b> |                                |   |                                    |                                    |                    |                    |
| <b>(Recap)</b>                           |                                |   |                                    |                                    |                    |                    |
| 601 Alzheimer's Association              | 40,000                         | 38,000  | 0                                  | 0                                  | 0                  | 0                  |

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>GRANT PAYMENTS - TO TOWNS (Recap)</b> |                                |   |                                    |                                    |                    |                    |
| 701 Training at Satellite Academies      | 0                              | 0   | 0                                  | 0                                  | 50,000             | 50,000             |
| <b>EQUIPMENT</b>                         |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                            | 120,782                        | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                | <b>2,677,450</b>               | <b>2,781,110</b>                                    | <b>2,603,018</b>                   | <b>2,659,933</b>                   | <b>2,653,018</b>   | <b>2,709,933</b>   |

**BUDGET CHANGES**

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>27</b>        | <b>2,553,081</b> | <b>27</b>        | <b>2,553,081</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services                              | 0                | 67,384           | 0                | 152,849          | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 133,895          | 0                | 73,101           | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 171,327          | 0                | 86,000           | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>372,606</b>   | <b>0</b>         | <b>311,950</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Add Funding for Basic Training Costs at Satellite Academies - (B)**

Six municipalities operate, or have operated their own facilities for the basic training of their police officers. These satellite-training academies are in Hartford, Bridgeport, New Haven, Waterbury, Stamford and Milford. The Police Officer Standards and Training Council certifies the training programs and the instructors.

-(Committee) Funding in the amount of \$50,000 per year is provided to reimburse the satellite-training academies for their costs of providing basic training for police officers from other municipalities. The funds are in a separate grant account titled Training at Satellite Academies. These academies are eligible for reimbursement of their actual basic training costs when (1) there is no space available at the Connecticut Police Training Academy in Meriden operated by the Police Officer Standards and Training Council and (2) the training class at the satellite academy is being provided solely for officers from other municipalities.

|                                 |          |          |          |          |          |               |          |               |
|---------------------------------|----------|----------|----------|----------|----------|---------------|----------|---------------|
| Training at Satellite Academies | 0        | 0        | 0        | 0        | 0        | 50,000        | 0        | 50,000        |
| <b>Total - General Fund</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>50,000</b> | <b>0</b> | <b>50,000</b> |

**Replace Equipment through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$171,327 in FY 02 and by

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

\$86,000 in FY 03. Equipment to be purchased in FY 02 includes the replacement of 5 used Crown Victorias, 7 PC's, and 1 portable trailer and the new purchase of 1 LCD projector, 1 Intoxilizer, 1 portable classroom and 1 utility trailer. Equipment to be purchased in FY 03 includes the replacement of 5 used Crown Victorias and 6 PC's and the new purchase of 1 LCD projector, 1 Intoxilizer, and an Action Target System. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |                 |          |                |          |          |          |          |
|-----------------------------|----------|-----------------|----------|----------------|----------|----------|----------|----------|
| Equipment                   | 0        | -171,327        | 0        | -86,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-171,327</b> | <b>0</b> | <b>-86,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Repair and Renovation Costs - (B)

The agency's FY 02 current services budget includes \$50,000 for renovations to the training academy's firing range and \$13,448 for repairs and improvements to classrooms. Both of these are one-time costs.

-(Governor) Funding for these one-time costs is eliminated. This removes a total of \$63,488 from the Other Expenses account in FY 02. Funding for repairs and renovations for the academy are provided from the agency's new FY 02 bond authorization of \$10 million. Section 2(d) of HB 6693, "An Act Concerning the Authorization of Bonds of the State for Capital Improvements and Other Purposes" authorizes this.

-(Committee) Same as Governor.

|                             |          |                |          |          |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------|----------|----------|----------|----------|
| Other Expenses              | 0        | -63,448        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-63,448</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Alzheimer's Association Subsidy - (B)

The Alzheimer's Safe Return Program is a two part effort (1) to register victims of Alzheimer's disease in a national data base and (2) to train police officers in how to recognize and deal with such victims. The police training portion began in FY 98. FY 01 is scheduled to be the last year of the training program.

-(Governor) Funding for this program is eliminated since FY 01 was the final year of the scheduled program. This training has been incorporated into the regular police-training curriculum. This reduces the budget by \$50,000 in both FY 02 and FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -10,000        | 0        | -10,000        | 0        | 0        | 0        | 0        |
| Alzheimer's Association     | 0        | -40,000        | 0        | -40,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-50,000</b> | <b>0</b> | <b>-50,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Reduce Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in Other Expenses is reduced. This reduces the agency's funding by \$20,447 in FY 02 and by \$42,265 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -20,447        | 0        | -42,265        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-20,447</b> | <b>0</b> | <b>-42,265</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Personal Services Funding through a General Personal Services Cut - (B)**

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1½%) in FY 03, reducing the agency's budget by \$15,888 in FY 02 and by \$25,654 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The total turnover and Personal Services reductions in the agency are \$15,888 in FY 02 and \$25,654 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$18,406 in FY 02 and by \$19,243 in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -15,888        | 0        | -25,654        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-15,888</b> | <b>0</b> | <b>-25,654</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$1,559 in FY 02 and \$1,179 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |               |          |               |          |          |          |          |
|-----------------------------|----------|---------------|----------|---------------|----------|----------|----------|----------|
| Personal Services           | 0        | -1,559        | 0        | -1,179        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,559</b> | <b>0</b> | <b>-1,179</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|                           |           |                  |           |                  |          |               |          |               |
|---------------------------|-----------|------------------|-----------|------------------|----------|---------------|----------|---------------|
| <b>Budget Totals - GF</b> | <b>27</b> | <b>2,603,018</b> | <b>27</b> | <b>2,659,933</b> | <b>0</b> | <b>50,000</b> | <b>0</b> | <b>50,000</b> |
|---------------------------|-----------|------------------|-----------|------------------|----------|---------------|----------|---------------|

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[1] Excess FY 01 funding in the amount of \$65,900 is transferred from this agency to the Medicaid account in the Department of Social Services in accordance with Sec. 19 of HB 6669 (the Deficiency Bill). This funding is available for transfer because of holdbacks in various accounts required by the Office of Policy and Management. The total amount is comprised of \$44,400 in Other Expenses, \$19,500 in Personal Services, and \$2,000 in the Alzheimer's Association account.



## Board of Firearms Permit Examiners 2004

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02        | Committee<br>FY 03 |                           |        |
|---|--------------------------------|---|------------------------------------|------------------------------------|---------------------------|--------------------|---------------------------|--------|
| POSITION SUMMARY  |                                |   |                                    |                                    |                           |                    |                           |        |
| Appropriated Funds  |                                |   |                                    |                                    |                           |                    |                           |        |
| General Fund  |                                |   |                                    |                                    |                           |                    |                           |        |
| Permanent Full-Time   | 1                              | 1   | 1                                  | 1                                  | 1                         | 1                  |                           |        |
| Others Equated to Full-Time   | 1                              | 1   | 1                                  | 1                                  | 1                         | 1                  |                           |        |
| OPERATING BUDGET  |                                |   |                                    |                                    |                           |                    |                           |        |
| Appropriated Funds  |                                |   |                                    |                                    |                           |                    |                           |        |
| General Fund  |                                |   |                                    |                                    |                           |                    |                           |        |
| 001 Personal Services   | 49,718                         | 59,000  | 62,496                             | 65,496                             | 62,496                    | 65,496             |                           |        |
| 002 Other Expenses  | 41,271                         | 25,000  | 38,121                             | 38,121                             | 38,121                    | 38,121             |                           |        |
| 005 Equipment   | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000                     | 1,000              |                           |        |
| Agency Total - General Fund   | 91,989                         | 85,000  | 101,617                            | 104,617                            | 101,617                   | 104,617            |                           |        |
| BUDGET BY PROGRAM   |                                |   |                                    |                                    |                           |                    |                           |        |
| Permit Appeals  |                                |   |                                    |                                    |                           |                    |                           |        |
| Permanent Full-Time Positions GF  | 1                              | 1   | 1                                  | 1                                  | 1                         | 1                  |                           |        |
| General Fund  |                                |   |                                    |                                    |                           |                    |                           |        |
| Personal Services   | 49,718                         | 59,000  | 62,496                             | 65,496                             | 62,496                    | 65,496             |                           |        |
| Other Expenses  | 41,271                         | 25,000  | 38,121                             | 38,121                             | 38,121                    | 38,121             |                           |        |
| Equipment   | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000                     | 1,000              |                           |        |
| Total - General Fund  | 91,989                         | 85,000  | 101,617                            | 104,617                            | 101,617                   | 104,617            |                           |        |
| EQUIPMENT   |                                |   |                                    |                                    |                           |                    |                           |        |
| 005 Equipment   | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000                     | 1,000              |                           |        |
| Agency Grand Total  | 91,989                         | 85,000  | 101,617                            | 104,617                            | 101,617                   | 104,617            |                           |        |
| BUDGET CHANGES  |                                |   |                                    |                                    |                           |                    |                           |        |
|   | Governor's FY 02<br>Pos.       | Amount  | Governor's FY 03<br>Pos.           | Amount                             | Leg. Change FY 02<br>Pos. | Amount             | Leg. Change FY 03<br>Pos. | Amount |
| FY 01 Estimated Expenditures - GF   | 1                              | 92,741  | 1                                  | 92,741                             | 0                         | 0                  | 0                         | 0      |
| Inflation and Non-Program Changes - (B)   |                                |   |                                    |                                    |                           |                    |                           |        |
| Personal Services   | 0                              | 3,172   | 0                                  | 9,173                              | 0                         | 0                  | 0                         | 0      |
| Other Expenses  | 0                              | 2,883   | 0                                  | 3,583                              | 0                         | 0                  | 0                         | 0      |
| Equipment   | 0                              | 1,000   | 0                                  | 500                                | 0                         | 0                  | 0                         | 0      |
| Total - General Fund  | 0                              | 7,055   | 0                                  | 13,256                             | 0                         | 0                  | 0                         | 0      |
| Produce Laws Pertaining to Firearms Booklet - (B)   |                                |   |                                    |                                    |                           |                    |                           |        |
| This agency produces a booklet titled "Laws Pertaining to Firearms" for distribution to law enforcement agencies and those seeking permits to carry and possess firearms. This has not been produced in the past few years due to budget constraints. |                                |   |                                    |                                    |                           |                    |                           |        |
| -(Governor) Funds are provided for the agency to publish and mail the "Laws Pertaining to Firearms" booklet. This adds \$5,921 to the agency's Other Expenses account in both FY 02 and FY 03.  |                                |   |                                    |                                    |                           |                    |                           |        |
| -(Committee) Same as Governor.  |                                |   |                                    |                                    |                           |                    |                           |        |
| Other Expenses  | 0                              | 5,921   | 0                                  | 5,921                              | 0                         | 0                  | 0                         | 0      |
| Total - General Fund  | 0                              | 5,921   | 0                                  | 5,921                              | 0                         | 0                  | 0                         | 0      |

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|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$2,410 in FY 02 and \$5,411 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |               |          |               |          |          |          |          |
|-----------------------------|----------|---------------|----------|---------------|----------|----------|----------|----------|
| Personal Services           | 0        | -2,410        | 0        | -5,411        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-2,410</b> | <b>0</b> | <b>-5,411</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Replace Equipment through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$1,000 in FY 02 and by \$500 in FY 03. These funds will be used to pay part of the cost of replacing a PC in FY 02 and a printer in FY 03. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |               |          |             |          |          |          |          |
|-----------------------------|----------|---------------|----------|-------------|----------|----------|----------|----------|
| Equipment                   | 0        | -1,000        | 0        | -500        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,000</b> | <b>0</b> | <b>-500</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

|  | Governor's FY 02 |                | Governor's FY 03 |                | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|----------------|------------------|----------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount         | Pos.             | Amount         | Pos.              | Amount   | Pos.              | Amount   |
| <p>-(Governor) Funding for inflationary increases in Other Expenses is eliminated. This reduces the agency's funding by \$690 in FY 02 and by \$1,390 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.</p> |                  |                |                  |                |                   |          |                   |          |
| Other Expenses   | 0                | -690           | 0                | -1,390         | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>-690</b>    | <b>0</b>         | <b>-1,390</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - GF</b>  | <b>1</b>         | <b>101,617</b> | <b>1</b>         | <b>104,617</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

## Military Department 2201

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                          | 64                             | 64  | 63                                 | 63                                 | 63                 | 63                 |
| Others Equated to Full-Time                  | 4                              | 4   | 4                                  | 4                                  | 4                  | 4                  |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                          | 94                             | 94  | 94                                 | 94                                 | 94                 | 94                 |
| <b>OPERATING BUDGET</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                        | 3,643,311                      | 3,920,000   | 4,250,975                          | 4,444,853                          | 4,250,975          | 4,444,853          |
| 002 Other Expenses                           | 2,126,667                      | 2,260,000   | 2,061,237                          | 2,056,247                          | 2,061,237          | 2,056,247          |
| 005 Equipment                                | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 02X Other Current Expenses                   | 467,059                        | 350,000   | 400,000                            | 400,000                            | 400,000            | 400,000            |
| <b>Agency Total - General Fund</b>           | <b>6,238,037</b>               | <b>6,531,000</b>                                    | <b>6,713,212</b>                   | <b>6,902,100</b>                   | <b>6,713,212</b>   | <b>6,902,100</b>   |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations | 0                              | 0   | 600,000                            | 0                                  | 600,000            | 0                  |
| Special Funds, Non-Appropriated              | 859,756                        | 2,460,072   | 2,253,000                          | 1,000,000                          | 2,253,000          | 1,000,000          |
| Private Contributions                        | 1,139,536                      | 1,506,000   | 1,506,000                          | 1,506,000                          | 1,506,000          | 1,506,000          |
| Federal Contributions                        | 10,850,764                     | 9,926,095   | 9,773,000                          | 9,335,500                          | 9,773,000          | 9,335,500          |
| <b>Agency Grand Total</b>                    | <b>19,088,093</b>              | <b>20,423,167</b>                                   | <b>20,845,212</b>                  | <b>18,743,600</b>                  | <b>20,845,212</b>  | <b>18,743,600</b>  |
| <b>BUDGET BY PROGRAM</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>Facilities Management</b>                 |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF          | 40/52                          | 40/52   | 39/52                              | 39/52                              | 39/52              | 39/52              |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 1,573,732                      | 1,744,500   | 1,821,032                          | 1,895,733                          | 1,821,032          | 1,895,733          |
| Other Expenses                               | 1,614,822                      | 1,774,000   | 1,554,643                          | 1,585,433                          | 1,554,643          | 1,585,433          |
| <b>Total - General Fund</b>                  | <b>3,188,554</b>               | <b>3,518,500</b>                                    | <b>3,375,675</b>                   | <b>3,481,166</b>                   | <b>3,375,675</b>   | <b>3,481,166</b>   |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| National Guard Military Operations and Maint | 5,525,660                      | 5,522,822   | 5,517,087                          | 5,211,231                          | 5,517,087          | 5,211,231          |
| Army National Guard                          | 2,030,467                      | 1,732,555   | 1,618,000                          | 1,623,500                          | 1,618,000          | 1,623,500          |
| <b>Total - Federal Contributions</b>         | <b>7,556,127</b>               | <b>7,255,377</b>                                    | <b>7,135,087</b>                   | <b>6,834,731</b>                   | <b>7,135,087</b>   | <b>6,834,731</b>   |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Special Funds, Non-Appropriated              | 859,756                        | 2,460,072   | 2,253,000                          | 1,000,000                          | 2,253,000          | 1,000,000          |
| <b>Total - All Funds</b>                     | <b>11,604,437</b>              | <b>13,233,949</b>                                   | <b>12,763,762</b>                  | <b>11,315,897</b>                  | <b>12,763,762</b>  | <b>11,315,897</b>  |
| <b>Operation of Military Units</b>           |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 218,611                        | 149,000   | 134,680                            | 138,211                            | 134,680            | 138,211            |
| Other Expenses                               | 204,819                        | 264,500   | 124,833                            | 127,473                            | 124,833            | 127,473            |
| 021 Firing Squads                            | 251,011                        | 350,000   | 400,000                            | 400,000                            | 400,000            | 400,000            |
| <b>Total - General Fund</b>                  | <b>674,441</b>                 | <b>763,500</b>                                      | <b>659,513</b>                     | <b>665,684</b>                     | <b>659,513</b>     | <b>665,684</b>     |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| Military Construction                        | 391,400                        | 395,000   | 395,000                            | 0                                  | 395,000            | 0                  |
| Army National Guard                          | 1,148                          | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>         | <b>392,548</b>                 | <b>395,000</b>                                      | <b>395,000</b>                     | <b>0</b>                           | <b>395,000</b>     | <b>0</b>           |
| <b>Total - All Funds</b>                     | <b>1,066,989</b>               | <b>1,158,500</b>                                    | <b>1,054,513</b>                   | <b>665,684</b>                     | <b>1,054,513</b>   | <b>665,684</b>     |

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Office of Emergency Management</b>        |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF          | 1/38                           | 1/38  | 1/38                               | 1/38                               | 1/38               | 1/38               |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 733,346                        | 807,000   | 890,091                            | 948,012                            | 890,091            | 948,012            |
| Other Expenses                               | 111,471                        | 65,500  | 146,161                            | 149,707                            | 146,161            | 149,707            |
| <b>Total - General Fund</b>                  | <b>844,817</b>                 | <b>872,500</b>                                      | <b>1,036,252</b>                   | <b>1,097,719</b>                   | <b>1,036,252</b>   | <b>1,097,719</b>   |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| Emergency Management Assistance              | 1,571,845                      | 1,856,709   | 1,853,836                          | 2,050,642                          | 1,853,836          | 2,050,642          |
| St Disaster Preparedness Grants              | 17,417                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Emergency Mgmt Inst Field Trng               | 117,753                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| St/Local Emerg Mgmt Assist-Other             | 103,728                        | 85,000  | 200,000                            | 250,000                            | 200,000            | 250,000            |
| Individual and Family Grants                 | 509,375                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| Other Federal Assistance                     | 46,587                         | 2,800   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>         | <b>2,366,705</b>               | <b>1,944,509</b>                                    | <b>2,053,836</b>                   | <b>2,300,642</b>                   | <b>2,053,836</b>   | <b>2,300,642</b>   |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations | 0                              | 0   | 600,000                            | 0                                  | 600,000            | 0                  |
| Private Contributions                        | 1,108,716                      | 1,472,985   | 1,471,188                          | 1,469,346                          | 1,471,188          | 1,469,346          |
| <b>Total - Additional Funds Available</b>    | <b>1,108,716</b>               | <b>1,472,985</b>                                    | <b>2,071,188</b>                   | <b>1,469,346</b>                   | <b>2,071,188</b>   | <b>1,469,346</b>   |
| <b>Total - All Funds</b>                     | <b>4,320,238</b>               | <b>4,289,994</b>                                    | <b>5,161,276</b>                   | <b>4,867,707</b>                   | <b>5,161,276</b>   | <b>4,867,707</b>   |
| <b>Management Services</b>                   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF          | 23/4                           | 23/4  | 23/4                               | 23/4                               | 23/4               | 23/4               |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 1,117,622                      | 1,219,500   | 1,477,034                          | 1,560,831                          | 1,477,034          | 1,560,831          |
| Other Expenses                               | 195,555                        | 156,000   | 235,600                            | 193,634                            | 235,600            | 193,634            |
| Equipment                                    | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 050 Year 2000 Conversion                     | 216,048                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>                  | <b>1,530,225</b>               | <b>1,376,500</b>                                    | <b>1,713,634</b>                   | <b>1,755,465</b>                   | <b>1,713,634</b>   | <b>1,755,465</b>   |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| National Guard Military Operations and Maint | 132,500                        | 135,118   | 142,913                            | 150,769                            | 142,913            | 150,769            |
| Emergency Management Assistance              | 42,100                         | 43,291  | 46,164                             | 49,358                             | 46,164             | 49,358             |
| Mitigation Assistance                        | 360,784                        | 152,800   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>         | <b>535,384</b>                 | <b>331,209</b>                                      | <b>189,077</b>                     | <b>200,127</b>                     | <b>189,077</b>     | <b>200,127</b>     |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Private Contributions                        | 30,820                         | 33,015  | 34,812                             | 36,654                             | 34,812             | 36,654             |
| <b>Total - All Funds</b>                     | <b>2,096,429</b>               | <b>1,740,724</b>                                    | <b>1,937,523</b>                   | <b>1,992,246</b>                   | <b>1,937,523</b>   | <b>1,992,246</b>   |
| <b>Personal Services Reductions</b>          |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 0                              | 0   | -40,362                            | -65,184                            | -40,362            | -65,184            |
| Less: Turnover - Personal Services - GF      | 0                              | 0   | -31,500                            | -32,750                            | -31,500            | -32,750            |
| <b>EQUIPMENT</b>                             |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                                | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                    | <b>19,088,093</b>              | <b>20,423,167</b>                                   | <b>20,845,212</b>                  | <b>18,743,600</b>                  | <b>20,845,212</b>  | <b>18,743,600</b>  |

## BUDGET CHANGES

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>64</b>        | <b>6,889,447</b> | <b>64</b>        | <b>6,889,447</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services                              | 0                | 460,733          | 0                | 711,521          | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 83,463           | 0                | 119,433          | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 270,300          | 0                | 247,900          | 0                 | 0        | 0                 | 0        |
| Firing Squads                                  | 0                | 11,086           | 0                | 21,720           | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>825,582</b>   | <b>0</b>         | <b>1,100,574</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

| Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
| Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

#### Replace Equipment through the Capital Equipment Purchase Fund - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$270,300 in FY 02 and by \$247,900 in FY 03. Funds will be used for the replacement of motor vehicle equipment, general agency equipment, information technology and computers, general agency equipment, and office equipment. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |                 |          |                 |          |          |          |          |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Equipment                   | 0        | -270,300        | 0        | -247,900        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-270,300</b> | <b>0</b> | <b>-247,900</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Relocate Units from Brainard Field to Other Facilities - (B)

The Military Department currently occupies space at Brainard Field in Hartford for use as a training facility.

-(Governor) Funding and one position are eliminated due to the move of the department's training site from Brainard Field to Camp Rowland. A small portion will be retained for use by the department. This reduces the budget by \$125,020 in FY 02 and by \$128,989 in FY 03.

-(Committee) Same as Governor.

|                             |           |                 |           |                 |          |          |          |          |
|-----------------------------|-----------|-----------------|-----------|-----------------|----------|----------|----------|----------|
| Personal Services           | -1        | -26,320         | -1        | -27,789         | 0        | 0        | 0        | 0        |
| Other Expenses              | 0         | -98,700         | 0         | -101,200        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>-1</b> | <b>-125,020</b> | <b>-1</b> | <b>-128,989</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Obtain Federal Funds for Funeral Honor Guards - (B)

Per CGS Section 27-76, the Military Department must provide an honorary firing squad at the funeral of military veterans, upon request. The number of honor guards has increased from 1,953 in FY 98 to an estimated 2,150 in FY 01. They are estimated to increase by 100 per year over the biennium.

|  | Governor's FY 02 |                 | Governor's FY 03 |                 | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-----------------|------------------|-----------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount          | Pos.             | Amount          | Pos.              | Amount   | Pos.              | Amount   |
| <b>-(Governor)</b> Funding for honorary firing squads at veterans' funerals is reduced by a total of \$123,086 per year due to the receipt of federal reimbursements for this program. \$400,000 is provided for Firing Squads in each year of the biennium. |                  |                 |                  |                 |                   |          |                   |          |
| <b>-(Committee)</b> Same as Governor.  |                  |                 |                  |                 |                   |          |                   |          |
| Personal Services  | 0                | -30,000         | 0                | -30,000         | 0                 | 0        | 0                 | 0        |
| Firing Squads  | 0                | -93,086         | 0                | -93,086         | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>-123,086</b> | <b>0</b>         | <b>-123,086</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Fund Excess Energy Costs from FY 01 Surplus - (B)**

Fuel and utility costs have increased significantly over the last year and are anticipated to exceed the standard rate of inflation in the foreseeable future.

**-(Governor)** Funding for the agency's fuel and utility costs are reduced by \$108,374 in FY 02 and \$107,469 in FY 03. Energy costs are anticipated to increase more than the standard rate of inflation. The excess cost increases are to be funded through FY01 surplus funds provided in a \$41 million Energy Contingency Fund in the Office of Policy and Management. Sections 47 and 50 of HB 6668, the Appropriations Act, authorize this. Statewide, the budget reductions are \$13.1 million in FY 02, about \$10.3 million in the General Fund and \$2.8 million in the Special Transportation Fund, and \$13.7 million in FY 03, about \$10.9 million in the General Fund and \$2.8 million in the Special Transportation Fund.

**-(Committee)** Same as Governor.

|                             |          |                 |          |                 |          |          |          |          |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -108,374        | 0        | -107,469        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-108,374</b> | <b>0</b> | <b>-107,469</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Shift Support for Radiological Program to Nuke Fund - (B)**

The Radiological Instrument Maintenance Program is currently funded through the General Fund and is part of the Office of Emergency Management. It provides technical support to the state and to 140 municipalities to maintain equipment necessary to respond to all types of radiological emergencies. The Nuclear Emergency Preparedness Fund (Nuke Fund) is financed by an annual assessment on nuclear utility plants, and is used for equipment and emergency exercises in communities surrounding the nuclear generating plants.

**-(Governor)** Funding for the support of the Radiological Maintenance Unit is transferred from the General Fund to the Nuclear Emergency Preparedness Fund. This would reduce the budget by \$96,875 in FY 02 and \$101,052 in FY 03.

**-(Committee)** Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -88,875        | 0        | -93,052         | 0        | 0        | 0        | 0        |
| Other Expenses              | 0        | -8,000         | 0        | -8,000          | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-96,875</b> | <b>0</b> | <b>-101,052</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Reduce Support to Department Facilities - (B)**

The department maintains 41 facilities throughout the state, which includes 20 armories, 11 maintenance shops, 4 aviation support facilities, 4 training site facilities, and 2 horse guard facilities.

-(Governor) Funding for the maintenance of department facilities is reduced to effect economy. This would reduce the budget by \$95,138 in FY 02 and \$109,477 in FY 03. This would reduce maintenance and clerical support for various facilities and result in the loss of about \$30,000 in federal reimbursements over the biennium.

-(Committee) Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -70,800        | 0        | -84,474         | 0        | 0        | 0        | 0        |
| Other Expenses              | 0        | -24,338        | 0        | -25,000         | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-95,138</b> | <b>0</b> | <b>-109,474</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Security in the Hartford Armory - (B)**

-(Governor) The security at the Hartford Armory would be reduced to effect economy. This reduces the budget by \$58,598 in FY 02 and by \$60,000 in FY 03. It would make the facility more vulnerable to vandalism and would result in the loss of \$10,342 in federal reimbursements.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -58,598        | 0        | -60,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-58,598</b> | <b>0</b> | <b>-60,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Security at Camp Hartell - (B)**

The department houses a large portion of its National Guard Transportation assets at Capt Hartell in Windsor Locks.

-(Governor) Funding for security at the logistical facility at Camp Hartell is eliminated to effect economy. This reduces the budget by \$50,607 in FY 02 and by \$51,000 in FY 03. This would leave the facility vulnerable to security breach and damage. It would also result in the loss of federal reimbursements of \$86,169 over the biennium.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -50,607        | 0        | -51,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-50,607</b> | <b>0</b> | <b>-51,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Personal Services Funding through a General Personal Services Cut - (B)**

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1½%) in FY 03, reducing the agency's budget by \$40,362 in FY 02 and by \$65,184 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the



|  | Governor's FY 02 |                | Governor's FY 03 |                | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|----------------|------------------|----------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount         | Pos.             | Amount         | Pos.              | Amount   | Pos.              | Amount   |
| Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The total turnover and Personal Services reductions in the agency are \$71,862 in FY 02 and \$97,934 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$46,761 in FY 02 and by \$48,893 in FY 03. |                  |                |                  |                |                   |          |                   |          |
| -(Committee) Same as Governor.   |                  |                |                  |                |                   |          |                   |          |
| Personal Services  | 0                | -40,362        | 0                | -65,184        | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>-40,362</b> | <b>0</b>         | <b>-65,184</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$20,963 in FY 02 and by \$68,505 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -20,963        | 0        | -57,871        | 0        | 0        | 0        | 0        |
| Firing Squads               | 0        | 0              | 0        | -10,634        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-20,963</b> | <b>0</b> | <b>-68,505</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$12,494 in FY 02 and \$25,262 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -12,494        | 0        | -25,262        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-12,494</b> | <b>0</b> | <b>-25,262</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Provide FY 01 Surplus Funds to Renovate Helicopters - (B)**

The Office of Emergency Management's mission is to ensure the safety of the state's citizens in time of emergency. Its responsibilities include early warning, direction and coordination of disaster response and pre-emergency planning and training to assure that personnel, procedures and physical resources are in place before disaster occur.

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <p>-(Governor) Surplus funds in the amount of \$600,000 are provided for the renovation of two army surplus helicopters for use by the Office of Emergency Management. These helicopters, in addition to the one recently obtained by the Department of Public Safety, will ensure that Connecticut can respond to emergencies in a timely manner. Section 47 of HB 6668, the Appropriations Act, authorizes this. The Governor's Budget estimate of the FY 01 surplus is \$501 million.</p> <p>-(Committee) Same as Governor.</p> |                  |                  |                  |                  |                   |          |                   |          |
| Carry Forward - FY 01 Surplus Appropriations   | 0                | 600,000          | 0                | 0                | 0                 | 0        | 0                 | 0        |
| <b>Total - Carry Forward - FY 01 Surplus Appropriations</b>  | <b>0</b>         | <b>600,000</b>   | <b>0</b>         | <b>0</b>         | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - GF</b>  | <b>63</b>        | <b>6,713,212</b> | <b>63</b>        | <b>6,902,100</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - OF</b>  | <b>0</b>         | <b>600,000</b>   | <b>0</b>         | <b>0</b>         | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

[1] Excess FY 01 funding in the amount of \$139,000 is transferred from this agency to the Medicaid account in the Department of Social Services in accordance with Sec. 19 of HB 6669 (the Deficiency Bill). This funding is available for transfer because of holdbacks in various accounts required by the Office of Policy and Management. The total amount is comprised of \$115,000 in Other Expenses and \$24,000 in the Firing Squads account.

[2] It is estimated that the agency will collect and deposit General Fund revenues of \$6,070,000 in both FY 02 and FY 03. The sources of these revenues include: federal receivables, \$6,000,000 and the rental of State Armories to private groups, \$70,000. In addition, the agency anticipates reimbursements from other state agencies of \$65,000 in both FY 02 and FY 03. This includes \$48,500 for telephones, \$10,000 for electricity, \$2,000 for natural gas, and \$4,500 for miscellaneous reimbursements.

## Commission on Fire Prevention and Control 2304

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                          | 23                             | 23  | 23                                 | 23                                 | 23                 | 23                 |
| Others Equated to Full-Time                  | 8                              | 4   | 4                                  | 4                                  | 4                  | 4                  |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Others Equated to Full-Time                  | 0                              | 1   | 1                                  | 1                                  | 1                  | 1                  |
| <b>OPERATING BUDGET</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                    |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                        | 1,289,699                      | 1,345,000   | 1,516,557                          | 1,595,423                          | 1,516,557          | 1,595,423          |
| 002 Other Expenses                           | 531,717                        | 575,000   | 612,898                            | 612,898                            | 612,898            | 612,898            |
| 005 Equipment                                | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 6XX Grant Payments - Other than Towns        | 242,000                        | 220,000   | 240,000                            | 240,000                            | 240,000            | 240,000            |
| <b>Agency Total - General Fund</b>           | <b>2,064,416</b>               | <b>2,141,000</b>                                    | <b>2,370,455</b>                   | <b>2,449,321</b>                   | <b>2,370,455</b>   | <b>2,449,321</b>   |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations | 0                              | 0   | 100,000                            | 0                                  | 100,000            | 0                  |
| Private Contributions                        | 710,130                        | 710,130   | 710,130                            | 710,310                            | 710,130            | 710,310            |
| Federal Contributions                        | 23,833                         | 40,000  | 40,000                             | 40,000                             | 40,000             | 40,000             |
| <b>Agency Grand Total</b>                    | <b>2,798,379</b>               | <b>2,891,130</b>                                    | <b>3,220,585</b>                   | <b>3,199,631</b>                   | <b>3,220,585</b>   | <b>3,199,631</b>   |
| <b>BUDGET BY PROGRAM</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>State Fire Administration</b>             |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF             | 23                             | 23  | 23                                 | 23                                 | 23                 | 23                 |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 1,289,699                      | 1,345,000   | 1,530,956                          | 1,618,820                          | 1,530,956          | 1,618,820          |
| Other Expenses                               | 531,717                        | 575,000   | 612,898                            | 612,898                            | 612,898            | 612,898            |
| Equipment                                    | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Grant Payments - Other Than Towns</b>     |                                |   |                                    |                                    |                    |                    |
| Payments to Volunteer Fire Companies         | 242,000                        | 220,000   | 240,000                            | 240,000                            | 240,000            | 240,000            |
| <b>Total - General Fund</b>                  | <b>2,064,416</b>               | <b>2,141,000</b>                                    | <b>2,384,854</b>                   | <b>2,472,718</b>                   | <b>2,384,854</b>   | <b>2,472,718</b>   |
| <b>Federal Contributions</b>                 |                                |   |                                    |                                    |                    |                    |
| Counter-Terrorism Training                   | 23,833                         | 40,000  | 40,000                             | 40,000                             | 40,000             | 40,000             |
| <b>Additional Funds Available</b>            |                                |   |                                    |                                    |                    |                    |
| Carry Forward - FY 01 Surplus Appropriations | 0                              | 0   | 100,000                            | 0                                  | 100,000            | 0                  |
| Private Contributions                        | 710,130                        | 710,130   | 710,130                            | 710,310                            | 710,130            | 710,310            |
| <b>Total - Additional Funds Available</b>    | <b>710,130</b>                 | <b>710,130</b>                                      | <b>810,130</b>                     | <b>710,310</b>                     | <b>810,130</b>     | <b>710,310</b>     |
| <b>Total - All Funds</b>                     | <b>2,798,379</b>               | <b>2,891,130</b>                                    | <b>3,234,984</b>                   | <b>3,223,028</b>                   | <b>3,234,984</b>   | <b>3,223,028</b>   |
| <b>Personal Services Reductions</b>          |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                          |                                |   |                                    |                                    |                    |                    |
| Personal Services                            | 0                              | 0   | -14,399                            | -23,397                            | -14,399            | -23,397            |
| <b>GRANT PAYMENTS - OTHER THAN TOWNS</b>     |                                |   |                                    |                                    |                    |                    |
| <b>(Recap)</b>                               |                                |   |                                    |                                    |                    |                    |
| 610 Payments to Volunteer Fire Companies     | 242,000                        | 220,000   | 240,000                            | 240,000                            | 240,000            | 240,000            |
| <b>EQUIPMENT</b>                             |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                                | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                    | <b>2,798,379</b>               | <b>2,891,130</b>                                    | <b>3,220,585</b>                   | <b>3,199,631</b>                   | <b>3,220,585</b>   | <b>3,199,631</b>   |

**BUDGET CHANGES**

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>23</b>        | <b>2,211,076</b> | <b>23</b>        | <b>2,211,076</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services                              | 0                | 159,630          | 0                | 246,692          | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | -4,736           | 0                | 5,754            | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 178,500          | 0                | 269,500          | 0                 | 0        | 0                 | 0        |
| Payments to Volunteer Fire Companies           | 0                | 20,000           | 0                | 20,000           | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>353,394</b>   | <b>0</b>         | <b>541,946</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Replace Equipment through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

**-(Governor)** Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$178,500 in FY 02 and by \$269,500 in FY 03. Equipment to be purchased in FY 02 includes the replacement of general plant equipment (\$50,000), 16 PC's (\$32,000), 10 computer workstations (\$25,000), 12 fire protection suits (\$18,000), and 5 two-way radios (\$7,500), and the new purchase of a vehicle exhaust venting system (\$35,000) and a portable automobile fire training prop (\$12,000). Equipment to be purchased in FY 03 includes the replacement of a fire-training trailer (\$160,000), general plant equipment (\$60,000), 10 computer workstations (\$25,000), 12 fire protection suits (\$18,000), and 5 two-way radios (\$7,500). Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

**-(Committee)** Same as Governor.

|                             |          |                 |          |                 |          |          |          |          |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Equipment                   | 0        | -178,500        | 0        | -269,500        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-178,500</b> | <b>0</b> | <b>-269,500</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Personal Services Funding through a General Personal Services Cut - (B)**

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1½%) in FY 03, reducing the agency's budget by \$14,399 in FY 02 and by \$23,397 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The total turnover and Personal Services reductions in the agency are \$14,399 in FY 02 and \$23,397 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$16,682 in FY 02 and by \$17,550 in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -14,399        | 0        | -23,397        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-14,399</b> | <b>0</b> | <b>-23,397</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in Other Expenses is eliminated. This reduces the agency's funding by \$10,490 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |          |          |                |          |          |          |          |
|-----------------------------|----------|----------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | 0        | 0        | -10,490        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>-10,490</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$1,116 in FY 02 and \$314 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |               |          |             |          |          |          |          |
|-----------------------------|----------|---------------|----------|-------------|----------|----------|----------|----------|
| Personal Services           | 0        | -1,116        | 0        | -314        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-1,116</b> | <b>0</b> | <b>-314</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Provide FY 01 Surplus Funds for Firefighters' Memorial - (B)**

The Connecticut State Firemen's Association began planning for the construction of a firefighters' memorial in 1997. The memorial is to be constructed at the Connecticut Fire Training School in Windsor Locks. The project is estimated to cost about \$200,000. About \$105,000 has been raised to date, which includes a \$30,000 state grant made in FY 99.

-(Governor) Surplus funds in the amount of \$100,000 are provided in FY 02 for the completion of the Firefighters' Memorial through a grant to the State Firemen's Association. Section 47 of HB 6668, the Appropriations Act, authorizes this. The Governor's Budget estimate of the FY 01 surplus is \$501 million.

-(Committee) Same as Governor.

|   |          |                |          |          |          |          |          |          |
|---|----------|----------------|----------|----------|----------|----------|----------|----------|
| Carry Forward - FY 01 Surplus Appropriations                | 0        | 100,000        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total - Carry Forward - FY 01 Surplus Appropriations</b> | <b>0</b> | <b>100,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Provide FY 01 Surplus Funds for Thermal Imaging Equipment in OPM - (B)**

Thermal imaging cameras are significant new tools for firefighters to locate persons in a fire and to locate the source of the fire. They have been shown to save lives and reduce property damage. An estimated 35 to 40 persons die in structural fires each year.

-(Governor) Surplus funds in the amount of \$3,000,000 are provided in FY 02 for the purchase of thermal imaging systems for use by local and state fire departments. This could purchase about 325 thermal imaging cameras. The funds are currently provided in the budget of the Office of Policy and Management, but program and cost information has been developed by this agency. sHB 6543, "An Act Concerning Thermal Imaging Cameras" authorizes the purchase of one thermal imaging camera for each fire department, fire district, and state fire department, plus two for the state fire training school. The bill provides the funding to the Department of Administrative Services (DAS). The current cost of about \$18,000 per camera might be reduced to \$8,000 or less through a mass purchase negotiated by DAS. Section 47 of HB 6668, the Appropriations Act, authorizes the surplus funds to the Office of Policy and Management. The Governor's Budget estimate of the FY 01 surplus is \$501 million.

-(Committee) Same as Governor.

|                           |           |                  |           |                  |          |          |          |          |
|---------------------------|-----------|------------------|-----------|------------------|----------|----------|----------|----------|
| <b>Budget Totals - GF</b> | <b>23</b> | <b>2,370,455</b> | <b>23</b> | <b>2,449,321</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| <b>Budget Totals - OF</b> | <b>0</b>  | <b>100,000</b>   | <b>0</b>  | <b>0</b>         | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

[1] Excess FY 01 funding in the amount of \$59,700 is transferred from this agency to the Medicaid account in the Department of Social Services in accordance with Sec. 19 of HB 6669 (the Deficiency Bill). This funding is available for transfer because of holdbacks in various accounts required by the Office of Policy and Management. The total amount is comprised of \$30,800 in Other Expenses and \$28,900 in Personal Services.

[2] These contributions represent the State Fire School Training and Education Extension account and the State Fire School Auxiliary Services account, which are authorized by CGS Sections 7-323p(b) and (c). They are separate non-lapsing, non-appropriated account in the General Fund. The Training and Education Extension account is used for the operation of such training and education programs as the State Fire Administrator (the head of the agency) may establish, and is funded through charges for these training programs. The Auxiliary Services account is used for the operation, maintenance and repair of facilities at the State Fire School, and is funded through charges for food services and direct expenses for operating and maintaining the facilities.

## Department of Banking 2402

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                         |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Banking Fund</b>                             |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                             | 145                            | 143   | 143                                | 143                                | 143                | 143                |
| Others Equated to Full-Time                     | 11                             | 0   | 5                                  | 5                                  | 5                  | 5                  |
| <b>OPERATING BUDGET</b>                         |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Banking Fund</b>                             |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                           | 7,552,760                      | 8,234,112   | 8,517,902                          | 9,078,375                          | 8,517,902          | 9,078,375          |
| 002 Other Expenses                              | 1,705,193                      | 2,516,209   | 2,390,399                          | 2,390,399                          | 2,390,399          | 2,390,399          |
| 005 Equipment                                   | 108,988                        | 373,600   | 147,858                            | 134,100                            | 147,858            | 134,100            |
| 02X Other Current Expenses                      | 3,082,065                      | 3,508,140   | 3,914,045                          | 4,171,885                          | 3,914,045          | 4,171,885          |
| <b>Agency Total - Banking Fund</b>              | <b>12,449,006</b>              | <b>14,632,061</b>                                   | <b>14,970,204</b>                  | <b>15,774,759</b>                  | <b>14,970,204</b>  | <b>15,774,759</b>  |
| <b>Additional Funds Available</b>               |                                |   |                                    |                                    |                    |                    |
| Carry Forward Funding                           | 0                              | 0   | 0                                  | 0                                  | 105,000            | 0                  |
| Private Contributions                           | 22,618                         | 602   | 45,602                             | 55,602                             | 45,602             | 55,602             |
| <b>Agency Grand Total</b>                       | <b>12,471,624</b>              | <b>14,632,663</b>                                   | <b>15,015,806</b>                  | <b>15,830,361</b>                  | <b>15,120,806</b>  | <b>15,830,361</b>  |
| <b>BUDGET BY PROGRAM</b>                        |                                |   |                                    |                                    |                    |                    |
| <b>Bank &amp; Credit Union Regulation</b>       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions BF                | 56                             | 56  | 56                                 | 56                                 | 56                 | 56                 |
| <b>Banking Fund</b>                             |                                |   |                                    |                                    |                    |                    |
| Personal Services                               | 2,961,697                      | 3,225,712   | 3,576,160                          | 3,738,095                          | 3,576,160          | 3,738,095          |
| Other Expenses                                  | 507,681                        | 794,293   | 794,293                            | 794,293                            | 794,293            | 794,293            |
| Equipment                                       | 15,211                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| 040 Fringe Benefits                             | 1,232,029                      | 1,052,617   | 1,307,617                          | 1,458,589                          | 1,307,617          | 1,458,589          |
| 045 Indirect Overhead                           | 0                              | 181,480   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Banking Fund</b>                     | <b>4,716,618</b>               | <b>5,254,102</b>                                    | <b>5,678,070</b>                   | <b>5,990,977</b>                   | <b>5,678,070</b>   | <b>5,990,977</b>   |
| <b>Supervision of Securities &amp; Business</b> |                                |   |                                    |                                    |                    |                    |
| <b>Investment</b>                               |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions BF                | 34                             | 34  | 34                                 | 34                                 | 34                 | 34                 |
| <b>Banking Fund</b>                             |                                |   |                                    |                                    |                    |                    |
| Personal Services                               | 1,715,171                      | 1,873,717   | 2,181,480                          | 2,272,032                          | 2,181,480          | 2,272,032          |
| Other Expenses                                  | 297,544                        | 484,215   | 484,215                            | 484,215                            | 484,215            | 484,215            |
| Equipment                                       | 14,055                         | 0   | 1,800                              | 0                                  | 1,800              | 0                  |
| 040 Fringe Benefits                             | 721,557                        | 616,482   | 896,719                            | 935,493                            | 896,719            | 935,493            |
| 045 Indirect Overhead                           | 0                              | 106,289   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Banking Fund</b>                     | <b>2,748,327</b>               | <b>3,080,703</b>                                    | <b>3,564,214</b>                   | <b>3,691,740</b>                   | <b>3,564,214</b>   | <b>3,691,740</b>   |
| <b>Additional Funds Available</b>               |                                |   |                                    |                                    |                    |                    |
| Private Contributions                           | 22,016                         | 0   | 45,000                             | 55,000                             | 45,000             | 55,000             |
| <b>Total - All Funds</b>                        | <b>2,770,343</b>               | <b>3,080,703</b>                                    | <b>3,609,214</b>                   | <b>3,746,740</b>                   | <b>3,609,214</b>   | <b>3,746,740</b>   |
| <b>Consumer Credit</b>                          |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions BF                | 14                             | 14  | 14                                 | 14                                 | 14                 | 14                 |
| <b>Banking Fund</b>                             |                                |   |                                    |                                    |                    |                    |
| Personal Services                               | 754,797                        | 821,759   | 853,878                            | 896,211                            | 853,878            | 896,211            |
| Other Expenses                                  | 115,763                        | 168,822   | 168,822                            | 168,822                            | 168,822            | 168,822            |
| Equipment                                       | 5,440                          | 0   | 18,000                             | 0                                  | 18,000             | 0                  |
| 040 Fringe Benefits                             | 314,584                        | 293,687   | 350,761                            | 368,581                            | 350,761            | 368,581            |
| 045 Indirect Overhead                           | 0                              | 106,289   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Banking Fund</b>                     | <b>1,190,584</b>               | <b>1,390,557</b>                                    | <b>1,391,461</b>                   | <b>1,433,614</b>                   | <b>1,391,461</b>   | <b>1,433,614</b>   |

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Management Services</b>                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions BF          | 41                             | 39  | 39                                 | 39                                 | 39                 | 39                 |
| <b>Banking Fund</b>                       |                                |   |                                    |                                    |                    |                    |
| Personal Services                         | 2,121,095                      | 2,312,924   | 2,383,259                          | 2,501,529                          | 2,383,259          | 2,501,529          |
| Other Expenses                            | 784,205                        | 1,068,879   | 943,069                            | 943,069                            | 943,069            | 943,069            |
| Equipment                                 | 74,282                         | 373,600   | 128,058                            | 134,100                            | 128,058            | 134,100            |
| 040 Fringe Benefits                       | 883,444                        | 884,932   | 979,635                            | 1,029,909                          | 979,635            | 1,029,909          |
| 045 Indirect Overhead                     | -69,549                        | 266,364   | 379,313                            | 379,313                            | 379,313            | 379,313            |
| <b>Total - Banking Fund</b>               | <b>3,793,477</b>               | <b>4,906,699</b>                                    | <b>4,813,334</b>                   | <b>4,987,920</b>                   | <b>4,813,334</b>   | <b>4,987,920</b>   |
| <b>Additional Funds Available</b>         |                                |   |                                    |                                    |                    |                    |
| Carry Forward Funding                     | 0                              | 0   | 0                                  | 0                                  | 105,000            | 0                  |
| Private Contributions                     | 602                            | 602   | 602                                | 602                                | 602                | 602                |
| <b>Total - Additional Funds Available</b> | <b>602</b>                     | <b>602</b>  | <b>602</b>                         | <b>602</b>                         | <b>105,602</b>     | <b>602</b>         |
| <b>Total - All Funds</b>                  | <b>3,794,079</b>               | <b>4,907,301</b>                                    | <b>4,813,936</b>                   | <b>4,988,522</b>                   | <b>4,918,936</b>   | <b>4,988,522</b>   |
| <b>Personal Services Reductions</b>       |                                |   |                                    |                                    |                    |                    |
| <b>Banking Fund</b>                       |                                |   |                                    |                                    |                    |                    |
| Personal Services                         | 0                              | 0   | -80,875                            | -133,136                           | -80,875            | -133,136           |
| Less: Turnover - Personal Services - BF   | 0                              | 0   | -396,000                           | -196,356                           | -396,000           | -196,356           |
| <b>EQUIPMENT</b>                          |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                             | 108,988                        | 373,600   | 147,858                            | 134,100                            | 147,858            | 134,100            |
| <b>Agency Grand Total</b>                 | <b>12,471,624</b>              | <b>14,632,663</b>                                   | <b>15,015,806</b>                  | <b>15,830,361</b>                  | <b>15,120,806</b>  | <b>15,830,361</b>  |

**BUDGET CHANGES**

|  | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-------------------|------------------|-------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - BF</b>       | <b>143</b>       | <b>14,517,008</b> | <b>143</b>       | <b>14,517,008</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services                              | 0                | 489,925           | 0                | 919,006           | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 185,410           | 0                | 249,896           | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | -191,700          | 0                | -239,500          | 0                 | 0        | 0                 | 0        |
| Fringe Benefits                                | 0                | 459,686           | 0                | 635,652           | 0                 | 0        | 0                 | 0        |
| Indirect Overhead                              | 0                | 112,949           | 0                | 112,949           | 0                 | 0        | 0                 | 0        |
| <b>Total - Banking Fund</b>                    | <b>0</b>         | <b>1,056,270</b>  | <b>0</b>         | <b>1,678,003</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Reduce Personal Services Funding through a  
General Personal Services Cut and by Increasing  
Turnover - (B)**

Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) and by about one and one-half percent (1-1/2%) in FY 03, reducing the agency's budget by \$80,875 in FY 02 and by \$133,136 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. Also, the agency's Personal Services account is reduced by



|  | Governor's FY 02 |                 | Governor's FY 03 |                 | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-----------------|------------------|-----------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount          | Pos.             | Amount          | Pos.              | Amount   | Pos.              | Amount   |
| <p>\$199,644 in FY 02 by increasing turnover. The total turnover and Personal Services reduction is \$362,393 in FY 02 and \$133,136 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. An amount of \$81,874 is reduced from Fringe Benefits to reflect turnover changes. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$93,697 in FY 02 and by \$99,862 in FY 03.</p> |                  |                 |                  |                 |                   |          |                   |          |
| <p>-(Committee) Same as Governor.</p>  |                  |                 |                  |                 |                   |          |                   |          |
| Personal Services  | 0                | -80,875         | 0                | -133,136        | 0                 | 0        | 0                 | 0        |
| Fringe Benefits  | 0                | -81,874         | 0                | 0               | 0                 | 0        | 0                 | 0        |
| Less: Turnover - Personal Services   | 0                | -199,644        | 0                | 0               | 0                 | 0        | 0                 | 0        |
| <b>Total - Banking Fund</b>  | <b>0</b>         | <b>-362,393</b> | <b>0</b>         | <b>-133,136</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$20,419 in FY 02 and \$36,410 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -20,419        | 0        | -36,410        | 0        | 0        | 0        | 0        |
| <b>Total - Banking Fund</b> | <b>0</b> | <b>-20,419</b> | <b>0</b> | <b>-36,410</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in Other Expenses accounts is eliminated. This reduces the agency's funding by \$60,410 in FY 02 and by \$124,896 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -60,410        | 0        | -124,896        | 0        | 0        | 0        | 0        |
| <b>Total - Banking Fund</b> | <b>0</b> | <b>-60,410</b> | <b>0</b> | <b>-124,896</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Continue Allotment Reductions - (B)**

In FY 01, the governor implemented allotment reductions totaling \$48.5 million statewide in various accounts and programs, in order to keep state expenditures beneath the spending cap.

-(Governor) Allotment reductions are continued for this agency. An amount of \$125,810 is removed from Other Expenses in both FY 02 and FY 03. Statewide, the continued allotment reductions are over \$9.4 million, including almost \$6.5 million in the General Fund and \$2.5 million in the special Transportation Fund.

|   | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |                | Leg. Change FY 03 |          |
|---|------------------|-------------------|------------------|-------------------|-------------------|----------------|-------------------|----------|
|   | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount         | Pos.              | Amount   |
| <b>-(Committee)</b> Same as Governor.   |                  |                   |                  |                   |                   |                |                   |          |
| Other Expenses  | 0                | -125,810          | 0                | -125,810          | 0                 | 0              | 0                 | 0        |
| <b>Total - Banking Fund</b>   | <b>0</b>         | <b>-125,810</b>   | <b>0</b>         | <b>-125,810</b>   | <b>0</b>          | <b>0</b>       | <b>0</b>          | <b>0</b> |
| <b>Reduce Equipment Funds From the Department - (B)</b>   |                  |                   |                  |                   |                   |                |                   |          |
| The Department of Banking equipment needs consist mostly of technology equipment for the purpose of bank examination and keeping information on securities brokers. |                  |                   |                  |                   |                   |                |                   |          |
| <b>-(Governor)</b> The governor recommends reducing equipment funds by \$34,042 in FY 02 to achieve savings.  |                  |                   |                  |                   |                   |                |                   |          |
| <b>-(Committee)</b> Same as Governor.   |                  |                   |                  |                   |                   |                |                   |          |
| Personal Services   | 0                | -34,042           | 0                | 0                 | 0                 | 0              | 0                 | 0        |
| <b>Total - Banking Fund</b>   | <b>0</b>         | <b>-34,042</b>    | <b>0</b>         | <b>0</b>          | <b>0</b>          | <b>0</b>       | <b>0</b>          | <b>0</b> |
| <b>Carry Forward Equipment Funds for an Upgrade of Management Information Systems - (B)</b>   |                  |                   |                  |                   |                   |                |                   |          |
| The Department of Banking is in the process of upgrading computer hardware for their information system.  |                  |                   |                  |                   |                   |                |                   |          |
| <b>-(Committee)</b> An amount of \$105,000 is carried forward from Equipment in FY 01 to FY 02 for the development of an electronic imaging system.                 |                  |                   |                  |                   |                   |                |                   |          |
| Carry Forward Funding   | 0                | 0                 | 0                | 0                 | 0                 | 105,000        | 0                 | 0        |
| <b>Total - Carry Forward Funding</b>  | <b>0</b>         | <b>0</b>          | <b>0</b>         | <b>0</b>          | <b>0</b>          | <b>105,000</b> | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - BF</b>   | <b>143</b>       | <b>14,970,204</b> | <b>143</b>       | <b>15,774,759</b> | <b>0</b>          | <b>0</b>       | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - OF</b>   | <b>0</b>         | <b>0</b>          | <b>0</b>         | <b>0</b>          | <b>0</b>          | <b>105,000</b> | <b>0</b>          | <b>0</b> |

## Department of Insurance 2403

|                                      | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--------------------------------------|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>              |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>            |                                |   |                                    |                                    |                    |                    |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                  | 179                            | 178   | 178                                | 178                                | 174                | 174                |
| Others Equated to Full-Time          | 19                             | 10  | 10                                 | 10                                 | 10                 | 10                 |
| <b>OPERATING BUDGET</b>              |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>            |                                |   |                                    |                                    |                    |                    |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                | 10,296,883                     | 10,930,827  | 11,969,693                         | 12,487,057                         | 11,696,921         | 12,197,414         |
| 002 Other Expenses                   | 2,103,514                      | 2,723,520   | 3,847,233                          | 3,257,362                          | 3,546,882          | 2,957,011          |
| 005 Equipment                        | 211,641                        | 268,000   | 197,000                            | 197,000                            | 197,000            | 197,000            |
| 02X Other Current Expenses           | 4,599,493                      | 4,726,687   | 5,473,604                          | 5,724,257                          | 5,360,474          | 5,604,980          |
| <b>Agency Total - Insurance Fund</b> | <b>17,211,531</b>              | <b>18,649,034</b>                                   | <b>21,487,530</b>                  | <b>21,665,676</b>                  | <b>20,801,277</b>  | <b>20,956,405</b>  |
| <b>Additional Funds Available</b>    |                                |   |                                    |                                    |                    |                    |
| Private Contributions                | 167,798                        | 0   | 193,126                            | 193,126                            | 193,126            | 193,126            |
| <b>Agency Grand Total</b>            | <b>17,379,329</b>              | <b>18,649,034</b>                                   | <b>21,680,656</b>                  | <b>21,858,802</b>                  | <b>20,994,403</b>  | <b>21,149,531</b>  |
| <b>BUDGET BY PROGRAM</b>             |                                |   |                                    |                                    |                    |                    |
| <b>Examination</b>                   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF     | 65                             | 65  | 65                                 | 65                                 | 65                 | 65                 |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services                    | 4,089,928                      | 4,268,722   | 4,756,923                          | 4,999,988                          | 4,756,923          | 4,999,988          |
| Other Expenses                       | 392,131                        | 433,292   | 406,582                            | 324,582                            | 406,582            | 324,582            |
| 040 Fringe Benefits                  | 1,707,939                      | 1,666,546   | 1,904,160                          | 2,005,720                          | 1,904,160          | 2,005,720          |
| <b>Total - Insurance Fund</b>        | <b>6,189,998</b>               | <b>6,368,560</b>                                    | <b>7,067,665</b>                   | <b>7,330,290</b>                   | <b>7,067,665</b>   | <b>7,330,290</b>   |
| <b>Licensing &amp; Investigation</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF     | 20                             | 20  | 20                                 | 20                                 | 20                 | 20                 |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services                    | 878,550                        | 959,522   | 1,013,971                          | 1,076,864                          | 1,013,971          | 1,076,864          |
| Other Expenses                       | 462,911                        | 244,838   | 305,838                            | 226,351                            | 305,838            | 226,351            |
| 040 Fringe Benefits                  | 362,665                        | 376,309   | 416,817                            | 443,460                            | 416,817            | 443,460            |
| <b>Total - Insurance Fund</b>        | <b>1,704,126</b>               | <b>1,580,669</b>                                    | <b>1,736,626</b>                   | <b>1,746,675</b>                   | <b>1,736,626</b>   | <b>1,746,675</b>   |
| <b>Consumer Affairs Division</b>     |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF     | 24                             | 24  | 24                                 | 24                                 | 24                 | 24                 |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services                    | 1,222,078                      | 1,396,845   | 1,495,661                          | 1,581,613                          | 1,495,661          | 1,581,613          |
| Other Expenses                       | 153,195                        | 227,955   | 177,455                            | 152,455                            | 177,455            | 152,455            |
| 040 Fringe Benefits                  | 504,474                        | 548,401   | 614,827                            | 651,320                            | 614,827            | 651,320            |
| <b>Total - Insurance Fund</b>        | <b>1,879,747</b>               | <b>2,173,201</b>                                    | <b>2,287,943</b>                   | <b>2,385,388</b>                   | <b>2,287,943</b>   | <b>2,385,388</b>   |
| <b>Additional Funds Available</b>    |                                |   |                                    |                                    |                    |                    |
| Private Contributions                | 30,611                         | 0   | 34,112                             | 34,112                             | 34,112             | 34,112             |
| <b>Total - All Funds</b>             | <b>1,910,358</b>               | <b>2,173,201</b>                                    | <b>2,322,055</b>                   | <b>2,419,500</b>                   | <b>2,322,055</b>   | <b>2,419,500</b>   |
| <b>Life and Health</b>               |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF     | 10                             | 10  | 10                                 | 10                                 | 10                 | 10                 |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services                    | 694,898                        | 718,573   | 759,460                            | 795,903                            | 759,460            | 795,903            |
| Other Expenses                       | 95,042                         | 149,885   | 121,885                            | 71,885                             | 121,885            | 71,885             |
| 040 Fringe Benefits                  | 289,807                        | 282,123   | 312,194                            | 327,759                            | 312,194            | 327,759            |
| <b>Total - Insurance Fund</b>        | <b>1,079,747</b>               | <b>1,150,581</b>                                    | <b>1,193,539</b>                   | <b>1,195,547</b>                   | <b>1,193,539</b>   | <b>1,195,547</b>   |

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Additional Funds Available</b>       |                                |   |                                    |                                    |                    |                    |
| Private Contributions                   | 86,988                         | 0   | 61,532                             | 61,532                             | 61,532             | 61,532             |
| <b>Total - All Funds</b>                | <b>1,166,735</b>               | <b>1,150,581</b>                                    | <b>1,255,071</b>                   | <b>1,257,079</b>                   | <b>1,255,071</b>   | <b>1,257,079</b>   |
| <b>Property and Casualty Division</b>   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF        | 10                             | 10  | 10                                 | 10                                 | 10                 | 10                 |
| <b>Insurance Fund</b>                   |                                |   |                                    |                                    |                    |                    |
| Personal Services                       | 635,702                        | 689,663   | 729,133                            | 735,733                            | 729,133            | 735,733            |
| Other Expenses                          | 53,255                         | 86,690  | 97,690                             | 47,690                             | 97,690             | 47,690             |
| 040 Fringe Benefits                     | 264,550                        | 270,762   | 299,728                            | 302,980                            | 299,728            | 302,980            |
| <b>Total - Insurance Fund</b>           | <b>953,507</b>                 | <b>1,047,115</b>                                    | <b>1,126,551</b>                   | <b>1,086,403</b>                   | <b>1,126,551</b>   | <b>1,086,403</b>   |
| <b>Market Conduct</b>                   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF        | 12                             | 12  | 12                                 | 12                                 | 12                 | 12                 |
| <b>Insurance Fund</b>                   |                                |   |                                    |                                    |                    |                    |
| Personal Services                       | 817,827                        | 851,421   | 956,604                            | 930,089                            | 956,604            | 930,089            |
| Other Expenses                          | 65,278                         | 88,335  | 94,835                             | 60,835                             | 94,835             | 60,835             |
| 040 Fringe Benefits                     | 342,415                        | 380,056   | 393,295                            | 383,017                            | 393,295            | 383,017            |
| <b>Total - Insurance Fund</b>           | <b>1,225,520</b>               | <b>1,319,812</b>                                    | <b>1,444,734</b>                   | <b>1,373,941</b>                   | <b>1,444,734</b>   | <b>1,373,941</b>   |
| <b>Additional Funds Available</b>       |                                |   |                                    |                                    |                    |                    |
| Private Contributions                   | 50,199                         | 0   | 97,482                             | 97,482                             | 97,482             | 97,482             |
| <b>Total - All Funds</b>                | <b>1,275,719</b>               | <b>1,319,812</b>                                    | <b>1,542,216</b>                   | <b>1,471,423</b>                   | <b>1,542,216</b>   | <b>1,471,423</b>   |
| <b>Management Services</b>              |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF        | 34                             | 33  | 33                                 | 33                                 | 33                 | 33                 |
| <b>Insurance Fund</b>                   |                                |   |                                    |                                    |                    |                    |
| Personal Services                       | 1,957,900                      | 1,922,547   | 2,223,818                          | 2,390,349                          | 2,223,818          | 2,390,349          |
| Other Expenses                          | 881,702                        | 1,204,002   | 2,342,597                          | 2,073,213                          | 2,342,597          | 2,073,213          |
| Equipment                               | 211,641                        | 268,000   | 197,000                            | 197,000                            | 197,000            | 197,000            |
| 040 Fringe Benefits                     | 819,386                        | 845,154   | 913,093                            | 984,364                            | 913,093            | 984,364            |
| 045 Indirect Overhead                   | 308,257                        | 357,336   | 506,360                            | 506,360                            | 506,360            | 506,360            |
| <b>Total - Insurance Fund</b>           | <b>4,178,886</b>               | <b>4,597,039</b>                                    | <b>6,182,868</b>                   | <b>6,151,286</b>                   | <b>6,182,868</b>   | <b>6,151,286</b>   |
| <b>Office of Managed Care Ombudsman</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF        | 4                              | 4   | 4                                  | 4                                  | 0                  | 0                  |
| <b>Insurance Fund</b>                   |                                |   |                                    |                                    |                    |                    |
| Personal Services                       | 0                              | 123,534   | 272,772                            | 289,643                            | 0                  | 0                  |
| Other Expenses                          | 0                              | 288,523   | 300,351                            | 300,351                            | 0                  | 0                  |
| 040 Fringe Benefits                     | 0                              | 0   | 113,130                            | 119,277                            | 0                  | 0                  |
| <b>Total - Insurance Fund</b>           | <b>0</b>                       | <b>412,057</b>                                      | <b>686,253</b>                     | <b>709,271</b>                     | <b>0</b>           | <b>0</b>           |
| <b>Personal Services Reductions</b>     |                                |   |                                    |                                    |                    |                    |
| <b>Insurance Fund</b>                   |                                |   |                                    |                                    |                    |                    |
| Personal Services                       | 0                              | 0   | -113,649                           | -183,125                           | -113,649           | -183,125           |
| Less: Turnover - Personal Services - IF | 0                              | 0   | -125,000                           | -130,000                           | -125,000           | -130,000           |
| <b>EQUIPMENT</b>                        |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                           | 211,641                        | 268,000   | 197,000                            | 197,000                            | 197,000            | 197,000            |
| <b>Agency Grand Total</b>               | <b>17,379,329</b>              | <b>18,649,034</b>                                   | <b>21,680,656</b>                  | <b>21,858,802</b>                  | <b>20,994,403</b>  | <b>21,149,531</b>  |

**BUDGET CHANGES**

|  | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-------------------|------------------|-------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - IF</b>       | <b>178</b>       | <b>19,145,360</b> | <b>178</b>       | <b>19,145,360</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services                              | 0                | 736,876           | 0                | 1,308,508         | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 1,353,409         | 0                | 783,605           | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | -71,000           | 0                | -71,000           | 0                 | 0        | 0                 | 0        |
| Fringe Benefits                                | 0                | 656,693           | 0                | 891,119           | 0                 | 0        | 0                 | 0        |
| Indirect Overhead                              | 0                | 149,024           | 0                | 149,024           | 0                 | 0        | 0                 | 0        |
| <b>Total - Insurance Fund</b>                  | <b>0</b>         | <b>2,825,002</b>  | <b>0</b>         | <b>3,061,256</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Reduce Personal Services Funding through a General Personal Services Cut and by Increasing Turnover - (B)**

Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one-half percent (1-1/2%) in FY 03, reducing the agency's budget by \$113,699 in FY 02 and by \$183,125 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$131,661 in FY 02 and by \$137,358 in FY 03.

-(Committee) Same as Governor.

|                               |          |                 |          |                 |          |          |          |          |
|-------------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services             | 0        | -113,649        | 0        | -183,125        | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-113,649</b> | <b>0</b> | <b>-183,125</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$28,933 in FY 02 and \$53,293 in FY 03, by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                               |          |                |          |                |          |          |          |          |
|-------------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services             | 0        | -28,933        | 0        | -53,293        | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-28,933</b> | <b>0</b> | <b>-53,293</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Funds for Durational Positions - (B)**

Durational positions are established in the agency that have administrative responsibilities.

-(Governor) The governor recommends reducing funds for durational positions in the amount of \$82,234 in FY 02 and \$98,786 in FY 03. Fringe Benefit amounts are reduced by \$33,724 in FY 02 and \$40,512 in FY 03 to achieve savings

-(Committee) Same as Governor.

|                               |          |                 |          |                 |          |          |          |          |
|-------------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services             | 0        | -82,234         | 0        | -98,786         | 0        | 0        | 0        | 0        |
| Fringe Benefits               | 0        | -33,724         | 0        | -40,512         | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-115,958</b> | <b>0</b> | <b>-139,298</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Reduce Personal Service Account Items - (B)**

-(Governor) The governor recommends reducing Personal Services items including accrued and sick vacation funds and overtime. Fringe benefits for these Personal Service items should also be reduced. These reductions are made to achieve savings.

-(Committee) Same as Governor.

|                               |          |                |          |               |          |          |          |          |
|-------------------------------|----------|----------------|----------|---------------|----------|----------|----------|----------|
| Personal Services             | 0        | -62,120        | 0        | -6,000        | 0        | 0        | 0        | 0        |
| Fringe Benefits               | 0        | -25,476        | 0        | -2,461        | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-87,596</b> | <b>0</b> | <b>-8,461</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Temporary Office Services - (B)**

The department has temporary office services to help with administrative functions.

-(Governor) The governor recommends reducing temporary office services from Personal Services in the department to achieve savings.

-(Committee) Same as Governor.

|                               |          |                |          |          |          |          |          |          |
|-------------------------------|----------|----------------|----------|----------|----------|----------|----------|----------|
| Other Expenses                | 0        | -74,600        | 0        | 0        | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-74,600</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$62,096 in FY 02 and by \$156,763 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                               |          |                |          |                 |          |          |          |          |
|-------------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses                | 0        | -62,096        | 0        | -156,763        | 0        | 0        | 0        | 0        |
| <b>Total - Insurance Fund</b> | <b>0</b> | <b>-62,096</b> | <b>0</b> | <b>-156,763</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Add Funds for the Managed Care Ombudsman Office for the Development of a Website and a Brochure - (B)**

The Managed Care Ombudsman website will provide information on health insurance issues to the public, physicians and other health care organizations. The site should be interactive so that individuals accessing the website can pose questions and receive answers. The brochure will describe the services offered by the Managed Care ombudsman.

-(Committee) Funding, in the amount of \$65,000 in FY 02 and \$38,000 in FY 03 for a Managed Care Ombudsman website and the development of a brochure, is eliminated.

|   | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |                 | Leg. Change FY 03 |                 |
|---|------------------|-------------------|------------------|-------------------|-------------------|-----------------|-------------------|-----------------|
|   | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount          | Pos.              | Amount          |
| <b>Remove Managed Care Ombudsman Program from the Department of Insurance to Create a Separate Agency - (B)</b>   |                  |                   |                  |                   |                   |                 |                   |                 |
| The Office of Managed Care Ombudsman receives complaints from the public, health care providers and other organizations involved in the managed care insurance process.   |                  |                   |                  |                   |                   |                 |                   |                 |
| <b>-(Committee)</b> The committee removes funds and positions of the Managed Care Ombudsman to create a separate agency. The office will still be under the Department of Insurance for administrative purposes only. |                  |                   |                  |                   |                   |                 |                   |                 |
| Personal Services   | 0                | 0                 | 0                | 0                 | -4                | -272,772        | -4                | -289,643        |
| Other Expenses  | 0                | 0                 | 0                | 0                 | 0                 | -300,351        | 0                 | -300,351        |
| Fringe Benefits   | 0                | 0                 | 0                | 0                 | 0                 | -113,130        | 0                 | -119,277        |
| <b>Total - Insurance Fund</b>   | <b>0</b>         | <b>0</b>          | <b>0</b>         | <b>0</b>          | <b>-4</b>         | <b>-686,253</b> | <b>-4</b>         | <b>-709,271</b> |
| <b>Budget Totals - IF</b>   | <b>178</b>       | <b>21,487,530</b> | <b>178</b>       | <b>21,665,676</b> | <b>-4</b>         | <b>-686,253</b> | <b>-4</b>         | <b>-709,271</b> |

## Office of the Managed Care Ombudsman 2404

|                                      | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--------------------------------------|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>              |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>            |                                |   |                                    |                                    |                    |                    |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                  | 0                              | 0   | 0                                  | 0                                  | 4                  | 4                  |
| <b>OPERATING BUDGET</b>              |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>            |                                |   |                                    |                                    |                    |                    |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                | 0                              | 0   | 0                                  | 0                                  | 272,772            | 289,643            |
| 002 Other Expenses                   | 0                              | 0   | 0                                  | 0                                  | 300,351            | 300,351            |
| 02X Other Current Expenses           | 0                              | 0   | 0                                  | 0                                  | 113,130            | 119,277            |
| <b>Agency Total - Insurance Fund</b> | <b>0</b>                       | <b>0</b>  | <b>0</b>                           | <b>0</b>                           | <b>686,253</b>     | <b>709,271</b>     |
| <b>BUDGET BY PROGRAM</b>             |                                |   |                                    |                                    |                    |                    |
| <b>Managed Care Ombudsman</b>        |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions IF     | 0                              | 0   | 0                                  | 0                                  | 4                  | 4                  |
| <b>Insurance Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services                    | 0                              | 0   | 0                                  | 0                                  | 272,772            | 289,643            |
| Other Expenses                       | 0                              | 0   | 0                                  | 0                                  | 300,351            | 300,351            |
| 040 Fringe Benefits                  | 0                              | 0   | 0                                  | 0                                  | 113,130            | 119,277            |
| <b>Total - Insurance Fund</b>        | <b>0</b>                       | <b>0</b>  | <b>0</b>                           | <b>0</b>                           | <b>686,253</b>     | <b>709,271</b>     |
| <b>Agency Grand Total</b>            | <b>0</b>                       | <b>0</b>  | <b>0</b>                           | <b>0</b>                           | <b>686,253</b>     | <b>709,271</b>     |

**BUDGET CHANGES**

|   | Governor's FY 02 |          | Governor's FY 03 |          | Leg. Change FY 02 |                | Leg. Change FY 03 |                |
|---|------------------|----------|------------------|----------|-------------------|----------------|-------------------|----------------|
|   | Pos.             | Amount   | Pos.             | Amount   | Pos.              | Amount         | Pos.              | Amount         |
| <b>Add Funds to Create a Separate Office of the Managed Care Ombudsman - (B)</b>  |                  |          |                  |          |                   |                |                   |                |
| The Office of the Managed Care Ombudsman receives inquiries from the public, health care providers and other health care organizations involved in the managed care process.                                |                  |          |                  |          |                   |                |                   |                |
| <b>-(Committee) The committee creates a separate Office of the Managed Care Ombudsman. The office will have its own budget. It will be under the Insurance Department for administrative purposes only.</b> |                  |          |                  |          |                   |                |                   |                |
| Personal Services   | 0                | 0        | 0                | 0        | 4                 | 272,772        | 4                 | 289,643        |
| Other Expenses  | 0                | 0        | 0                | 0        | 0                 | 300,351        | 0                 | 300,351        |
| Fringe Benefits   | 0                | 0        | 0                | 0        | 0                 | 113,130        | 0                 | 119,277        |
| <b>Total - Insurance Fund</b>   | <b>0</b>         | <b>0</b> | <b>0</b>         | <b>0</b> | <b>4</b>          | <b>686,253</b> | <b>4</b>          | <b>709,271</b> |
| <b>Budget Totals - IF</b>   | <b>0</b>         | <b>0</b> | <b>0</b>         | <b>0</b> | <b>4</b>          | <b>686,253</b> | <b>4</b>          | <b>709,271</b> |



## Office of Consumer Counsel 2406

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time   | 19                             | 19  | 19                                 | 19                                 | 21                 | 21                 |
| Others Equated to Full-Time   | 2                              | 1   | 1                                  | 1                                  | 1                  | 1                  |
| <b>OPERATING BUDGET</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services   | 1,056,995                      | 1,260,455   | 1,349,308                          | 1,396,131                          | 1,461,046          | 1,507,869          |
| 002 Other Expenses  | 467,385                        | 471,724   | 489,924                            | 489,924                            | 489,924            | 489,924            |
| 005 Equipment   | 16,030                         | 13,000  | 16,800                             | 16,000                             | 16,800             | 16,000             |
| 02X Other Current Expenses  | 356,520                        | 550,226   | 760,841                            | 786,095                            | 812,241            | 837,495            |
| <b>Agency Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>1,896,930</b>               | <b>2,295,405</b>                                    | <b>2,616,873</b>                   | <b>2,688,150</b>                   | <b>2,780,011</b>   | <b>2,851,288</b>   |
| <b>BUDGET BY PROGRAM</b>  |                                |   |                                    |                                    |                    |                    |
| <b>Utility Consumer Advice &amp; Assistance</b>                       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions PF                                      | 19                             | 19  | 19                                 | 19                                 | 21                 | 21                 |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 1,056,995                      | 1,260,455   | 1,362,119                          | 1,416,605                          | 1,473,857          | 1,528,343          |
| Other Expenses  | 467,385                        | 471,724   | 489,924                            | 489,924                            | 489,924            | 489,924            |
| Equipment   | 16,030                         | 13,000  | 16,800                             | 16,000                             | 16,800             | 16,000             |
| 040 Fringe Benefits   | 436,327                        | 477,115   | 560,942                            | 586,196                            | 612,342            | 637,596            |
| 045 Indirect Overhead   | -79,807                        | 73,111  | 199,899                            | 199,899                            | 199,899            | 199,899            |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b>        | <b>1,896,930</b>               | <b>2,295,405</b>                                    | <b>2,629,684</b>                   | <b>2,708,624</b>                   | <b>2,792,822</b>   | <b>2,871,762</b>   |
| <b>Personal Services Reductions</b>                                   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 0                              | 0   | -12,811                            | -20,474                            | -12,811            | -20,474            |
| <b>EQUIPMENT</b>  |                                |   |                                    |                                    |                    |                    |
| 005 Equipment   | 16,030                         | 13,000  | 16,800                             | 16,000                             | 16,800             | 16,000             |
| <b>Agency Grand Total</b>   | <b>1,896,930</b>               | <b>2,295,405</b>                                    | <b>2,616,873</b>                   | <b>2,688,150</b>                   | <b>2,780,011</b>   | <b>2,851,288</b>   |

**BUDGET CHANGES**

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - PF</b>                       | <b>19</b>        | <b>2,295,405</b> | <b>19</b>        | <b>2,295,405</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b>                 |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services  | 0                | 107,362          | 0                | 168,942          | 0                 | 0        | 0                 | 0        |
| Other Expenses   | 0                | 29,156           | 0                | 40,788           | 0                 | 0        | 0                 | 0        |
| Equipment  | 0                | 3,800            | 0                | 3,000            | 0                 | 0        | 0                 | 0        |
| Fringe Benefits  | 0                | 83,827           | 0                | 109,081          | 0                 | 0        | 0                 | 0        |
| Indirect Overhead  | 0                | 126,788          | 0                | 126,788          | 0                 | 0        | 0                 | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b>         | <b>350,933</b>   | <b>0</b>         | <b>448,599</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

| Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
| Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$10,956 in FY 02 and by \$22,588 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|  |          |                |          |                |          |          |          |          |
|--|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses   | 0        | -10,956        | 0        | -22,588        | 0        | 0        | 0        | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b> | <b>-10,956</b> | <b>0</b> | <b>-22,588</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$5,698 in FY 02 and \$12,792 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|  |          |               |          |                |          |          |          |          |
|--|----------|---------------|----------|----------------|----------|----------|----------|----------|
| Personal Services  | 0        | -5,698        | 0        | -12,792        | 0        | 0        | 0        | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b> | <b>-5,698</b> | <b>0</b> | <b>-12,792</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reduce Personal Services Funding through a General Personal Services Cut - (B)**

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1 ½%) in FY 03, reducing the agency's budget by \$12,811 in FY 02 and by \$20,474 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund.

-(Committee) Same as Governor.

|  |          |                |          |                |          |          |          |          |
|--|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services  | 0        | -12,811        | 0        | -20,474        | 0        | 0        | 0        | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b> | <b>-12,811</b> | <b>0</b> | <b>-20,474</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Add Two Positions - (B)**

The Office of Consumer Counsel currently has 19 staff.

-(Committee) Funding of \$311,591 in each year of the biennium is provided for four additional staff as follows:

- ◆ Associate Rate Specialist (AR-26) with an annual salary of \$55,869 and associated fringe benefit costs of \$25,700 will work primarily in natural gas and electric restructuring areas and will be responsible for cost allocation, cost and revenue analysis and rate design,
- ◆ Utilities Finance Specialist (AR-26) with an annual salary of \$55,869 and associated fringe benefit costs of \$25,700 will work primarily in the natural gas and electric restructuring areas responsible for economic and finance analysis and costs analysis,

|  |           |                  |           |                  |          |                |          |                |
|--|-----------|------------------|-----------|------------------|----------|----------------|----------|----------------|
| Personal Services  | 0         | 0                | 0         | 0                | 2        | 111,738        | 2        | 111,738        |
| Fringe Benefits  | 0         | 0                | 0         | 0                | 0        | 51,400         | 0        | 51,400         |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b>  | <b>0</b>         | <b>0</b>  | <b>0</b>         | <b>2</b> | <b>163,138</b> | <b>2</b> | <b>163,138</b> |
| <b>Budget Totals - PF</b>                                      | <b>19</b> | <b>2,616,873</b> | <b>19</b> | <b>2,688,150</b> | <b>2</b> | <b>163,138</b> | <b>2</b> | <b>163,138</b> |

## Department of Public Utility Control 2407

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time   | 160                            | 159   | 159                                | 159                                | 159                | 159                |
| Others Equated to Full-Time   | 18                             | 0   | 8                                  | 8                                  | 8                  | 8                  |
| <b>Additional Funds Available</b>                                     |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time   | 10                             | 6   | 10                                 | 10                                 | 10                 | 10                 |
| <b>OPERATING BUDGET</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services   | 9,274,402                      | 10,321,094  | 10,701,969                         | 11,181,376                         | 10,701,969         | 11,181,376         |
| 002 Other Expenses  | 1,980,969                      | 2,305,666   | 2,300,508                          | 2,300,228                          | 2,300,508          | 2,300,228          |
| 005 Equipment   | 183,251                        | 192,000   | 182,790                            | 189,810                            | 182,790            | 189,810            |
| 02X Other Current Expenses  | 3,953,989                      | 4,429,296   | 4,628,093                          | 4,883,628                          | 4,628,093          | 4,883,628          |
| <b>Agency Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>15,392,611</b>              | <b>17,248,056</b>                                   | <b>17,813,360</b>                  | <b>18,555,042</b>                  | <b>17,813,360</b>  | <b>18,555,042</b>  |
| <b>Additional Funds Available</b>                                     |                                |   |                                    |                                    |                    |                    |
| Connecticut Siting Council  | 986,160                        | 1,068,945   | 1,167,219                          | 1,248,581                          | 1,167,219          | 1,248,581          |
| Federal Contributions   | 33,271                         | 40,000  | 42,000                             | 45,000                             | 42,000             | 45,000             |
| <b>Agency Grand Total</b>   | <b>16,412,042</b>              | <b>18,357,001</b>                                   | <b>19,022,579</b>                  | <b>19,848,623</b>                  | <b>19,022,579</b>  | <b>19,848,623</b>  |
| <b>BUDGET BY PROGRAM</b>  |                                |   |                                    |                                    |                    |                    |
| <b>Public Service &amp; Regulation</b>                                |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions PF/OF                                   | 160/10                         | 159/6   | 159/10                             | 159/10                             | 159/10             | 159/10             |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 9,274,402                      | 10,321,094  | 11,004,381                         | 11,551,553                         | 11,004,381         | 11,551,553         |
| Other Expenses  | 1,980,969                      | 2,305,666   | 2,300,508                          | 2,300,228                          | 2,300,508          | 2,300,228          |
| Equipment   | 183,251                        | 192,000   | 182,790                            | 189,810                            | 182,790            | 189,810            |
| 040 Fringe Benefits   | 3,741,318                      | 3,927,762   | 4,457,624                          | 4,711,159                          | 4,457,624          | 4,711,159          |
| 045 Indirect Overhead   | 212,104                        | 491,534   | 160,469                            | 160,469                            | 160,469            | 160,469            |
| 046 Nuclear Energy Advisory Council                                   | 567                            | 10,000  | 10,000                             | 12,000                             | 10,000             | 12,000             |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b>        | <b>15,392,611</b>              | <b>17,248,056</b>                                   | <b>18,115,772</b>                  | <b>18,925,219</b>                  | <b>18,115,772</b>  | <b>18,925,219</b>  |
| <b>Federal Contributions</b>  |                                |   |                                    |                                    |                    |                    |
| Pipeline Safety   | 33,271                         | 40,000  | 42,000                             | 45,000                             | 42,000             | 45,000             |
| <b>Additional Funds Available</b>                                     |                                |   |                                    |                                    |                    |                    |
| Connecticut Siting Council  | 986,160                        | 1,068,945   | 1,167,219                          | 1,248,581                          | 1,167,219          | 1,248,581          |
| <b>Total - All Funds</b>  | <b>16,412,042</b>              | <b>18,357,001</b>                                   | <b>19,324,991</b>                  | <b>20,218,800</b>                  | <b>19,324,991</b>  | <b>20,218,800</b>  |
| <b>Personal Services Reductions</b>                                   |                                |   |                                    |                                    |                    |                    |
| <b>Consumer Counsel &amp; Public Util Control Fund</b>                |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 0                              | 0   | -101,612                           | -163,977                           | -101,612           | -163,977           |
| Less: Turnover - Personal Services - PF                               | 0                              | 0   | -200,800                           | -206,200                           | -200,800           | -206,200           |
| <b>EQUIPMENT</b>  |                                |   |                                    |                                    |                    |                    |
| 005 Equipment   | 183,251                        | 192,000   | 182,790                            | 189,810                            | 182,790            | 189,810            |
| <b>Agency Grand Total</b>   | <b>16,412,042</b>              | <b>18,357,001</b>                                   | <b>19,022,579</b>                  | <b>19,848,623</b>                  | <b>19,022,579</b>  | <b>19,848,623</b>  |

April 17, 2001

**BUDGET CHANGES**

|  | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-------------------|------------------|-------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - PF</b>                       | <b>159</b>       | <b>17,248,056</b> | <b>159</b>       | <b>17,248,056</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b>                 |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services  | 0                | 648,908           | 0                | 1,267,135         | 0                 | 0        | 0                 | 0        |
| Other Expenses   | 0                | 174,076           | 0                | 231,527           | 0                 | 0        | 0                 | 0        |
| Fringe Benefits  | 0                | 571,036           | 0                | 824,571           | 0                 | 0        | 0                 | 0        |
| Indirect Overhead  | 0                | -331,065          | 0                | -331,065          | 0                 | 0        | 0                 | 0        |
| Nuclear Energy Advisory Council                                | 0                | 0                 | 0                | 2,000             | 0                 | 0        | 0                 | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b>         | <b>1,062,955</b>  | <b>0</b>         | <b>1,994,168</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$54,234 in FY 02 and by \$111,965 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|  |          |                |          |                 |          |          |          |          |
|--|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses   | 0        | -54,234        | 0        | -111,965        | 0        | 0        | 0        | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b> | <b>-54,234</b> | <b>0</b> | <b>-111,965</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Continue Allotment Reductions - (B)**

In FY 01, the governor implemented allotment reductions totaling \$48.5 million statewide in various accounts and programs, in order to keep state expenditures beneath the spending cap.

-(Governor) Allotment reductions are continued for this agency. An amount of \$125,000 is removed from various accounts in both FY 02 and FY 03. Statewide, the continued allotment reductions are over \$9.4 million, including almost \$6.5 million in the General Fund and \$2.5 million in the Special Transportation Fund.

-(Committee) Same as Governor.

|  |          |                 |          |                 |          |          |          |          |
|--|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses   | 0        | -125,000        | 0        | -125,000        | 0        | 0        | 0        | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b> | <b>0</b> | <b>-125,000</b> | <b>0</b> | <b>-125,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$66,021 in FY 02 and \$142,476 in FY 03 by delaying annual salary increases for six months.

|   | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|---|------------------|-------------------|------------------|-------------------|-------------------|----------|-------------------|----------|
|   | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount   | Pos.              | Amount   |
| -(Committee) Same as Governor.  |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services   | 0                | -66,021           | 0                | -142,476          | 0                 | 0        | 0                 | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b>  | <b>0</b>         | <b>-66,021</b>    | <b>0</b>         | <b>-142,476</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Reduce Personal Services Funding through a General Personal Services Cut and by Increasing Turnover - (B)</b>  |                  |                   |                  |                   |                   |          |                   |          |
| Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.  |                  |                   |                  |                   |                   |          |                   |          |
| -(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by one and one half percent (1 ½%) in FY 03, reducing the agency's budget by \$101,612 in FY 02 and by \$163,977 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. Also, the agency's Personal Services account is reduced by \$100,400 in each fiscal year by increasing turnover. Additionally, there is a corresponding reduction to the fringe benefits of \$41,174 for the personal services reductions. The total turnover and Personal Services reductions in the agency are \$243,186 in FY 02 and \$305,151 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$117,721 in FY 02 and \$122,995 in FY 03. |                  |                   |                  |                   |                   |          |                   |          |
| -(Committee) Same as Governor.  |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services   | 0                | -101,612          | 0                | -163,977          | 0                 | 0        | 0                 | 0        |
| Fringe Benefits   | 0                | -41,174           | 0                | -41,174           | 0                 | 0        | 0                 | 0        |
| Less: Turnover - Personal Services  | 0                | -100,400          | 0                | -100,400          | 0                 | 0        | 0                 | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b>  | <b>0</b>         | <b>-243,186</b>   | <b>0</b>         | <b>-305,551</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Reduce Equipment Funding to Effect Economies - (B)</b>   |                  |                   |                  |                   |                   |          |                   |          |
| -(Governor) A reduction in funding of \$9,210 in FY 02 and \$2,190 in FY 03 is recommended to effect savings, funds of \$182,790 in FY 02 and \$189,810 in FY 03 remain for equipment.  |                  |                   |                  |                   |                   |          |                   |          |
| -(Committee) Same as Governor.  |                  |                   |                  |                   |                   |          |                   |          |
| Equipment   | 0                | -9,210            | 0                | -2,190            | 0                 | 0        | 0                 | 0        |
| <b>Total - Consumer Counsel &amp; Public Util Control Fund</b>  | <b>0</b>         | <b>-9,210</b>     | <b>0</b>         | <b>-2,190</b>     | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Budget Totals - PF</b>   | <b>159</b>       | <b>17,813,360</b> | <b>159</b>       | <b>18,555,042</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

## Department of Consumer Protection 2500

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                                   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                                 |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                                       |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                                       | 172                            | 172   | 174                                | 174                                | 174                | 174                |
| Others Equated to Full-Time                               | 8                              | 12  | 12                                 | 12                                 | 12                 | 12                 |
| <b>Additional Funds Available</b>                         |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                                       | 26                             | 24  | 25                                 | 25                                 | 25                 | 25                 |
| <b>OPERATING BUDGET</b>                                   |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                                 |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                                       |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                                     | 8,960,188                      | 10,053,176  | 10,283,670                         | 10,706,345                         | 10,283,670         | 10,706,345         |
| 002 Other Expenses  | 1,038,710                      | 1,054,607   | 1,150,539                          | 1,152,972                          | 1,150,539          | 1,152,972          |
| 005 Equipment   | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 02X Other Current Expenses                                | 345,323                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Agency Total - General Fund</b>                        | <b>10,345,221</b>              | <b>11,108,783</b>                                   | <b>11,435,209</b>                  | <b>11,860,317</b>                  | <b>11,435,209</b>  | <b>11,860,317</b>  |
| <b>Additional Funds Available</b>                         |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                     | 2,197,750                      | 1,949,770   | 2,009,767                          | 2,116,544                          | 2,009,767          | 2,116,544          |
| Federal Contributions                                     | 28,078                         | 66,650  | 8,200                              | 3,213                              | 8,200              | 3,213              |
| <b>Agency Grand Total</b>                                 | <b>12,571,049</b>              | <b>13,125,203</b>                                   | <b>13,453,176</b>                  | <b>13,980,074</b>                  | <b>13,453,176</b>  | <b>13,980,074</b>  |
| <b>BUDGET BY PROGRAM</b>                                  |                                |   |                                    |                                    |                    |                    |
| <b>Regulation of Food and Standards</b>                   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                       | 29/8                           | 29/7  | 29/8                               | 29/8                               | 29/8               | 29/8               |
| <b>General Fund</b>                                       |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 1,568,980                      | 1,643,865   | 1,696,793                          | 1,749,023                          | 1,696,793          | 1,749,023          |
| Other Expenses  | 209,764                        | 210,158   | 240,922                            | 243,182                            | 240,922            | 243,182            |
| <b>Total - General Fund</b>                               | <b>1,778,744</b>               | <b>1,854,023</b>                                    | <b>1,937,715</b>                   | <b>1,992,205</b>                   | <b>1,937,715</b>   | <b>1,992,205</b>   |
| <b>Additional Funds Available</b>                         |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                     | 468,244                        | 559,955   | 591,914                            | 627,972                            | 591,914            | 627,972            |
| <b>Total - All Funds</b>                                  | <b>2,246,988</b>               | <b>2,413,978</b>                                    | <b>2,529,629</b>                   | <b>2,620,177</b>                   | <b>2,529,629</b>   | <b>2,620,177</b>   |
| <b>Regulation of Drugs, Cosmetics and Medical Devices</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF                          | 13                             | 13  | 13                                 | 13                                 | 13                 | 13                 |
| <b>General Fund</b>                                       |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 867,633                        | 897,005   | 982,504                            | 1,026,677                          | 982,504            | 1,026,677          |
| Other Expenses  | 83,793                         | 85,438  | 92,625                             | 93,389                             | 92,625             | 93,389             |
| <b>Total - General Fund</b>                               | <b>951,426</b>                 | <b>982,443</b>                                      | <b>1,075,129</b>                   | <b>1,120,066</b>                   | <b>1,075,129</b>   | <b>1,120,066</b>   |
| <b>Federal Contributions</b>                              |                                |   |                                    |                                    |                    |                    |
| Law Enforce Assist-Danger.Drugs                           | 8,728                          | 0   | 8,200                              | 3,213                              | 8,200              | 3,213              |
| <b>Additional Funds Available</b>                         |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                     | 724                            | 0   | 4,050                              | 3,525                              | 4,050              | 3,525              |
| <b>Total - All Funds</b>                                  | <b>960,878</b>                 | <b>982,443</b>                                      | <b>1,087,379</b>                   | <b>1,126,804</b>                   | <b>1,087,379</b>   | <b>1,126,804</b>   |
| <b>Regulation of Alcoholic Liquor</b>                     |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                       | 30/9                           | 30/9  | 30/9                               | 30/9                               | 30/9               | 30/9               |
| <b>General Fund</b>                                       |                                |   |                                    |                                    |                    |                    |
| Personal Services   | 1,759,565                      | 1,658,365   | 1,578,785                          | 1,657,180                          | 1,578,785          | 1,657,180          |
| Other Expenses  | 156,126                        | 165,199   | 179,073                            | 180,801                            | 179,073            | 180,801            |
| <b>Total - General Fund</b>                               | <b>1,915,691</b>               | <b>1,823,564</b>                                    | <b>1,757,858</b>                   | <b>1,837,981</b>                   | <b>1,757,858</b>   | <b>1,837,981</b>   |
| <b>Additional Funds Available</b>                         |                                |   |                                    |                                    |                    |                    |
| Private Contributions                                     | 1,220,317                      | 807,468   | 851,830                            | 902,989                            | 851,830            | 902,989            |
| <b>Total - All Funds</b>                                  | <b>3,136,008</b>               | <b>2,631,032</b>                                    | <b>2,609,688</b>                   | <b>2,740,970</b>                   | <b>2,609,688</b>   | <b>2,740,970</b>   |

|  | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|--|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>Regulation of Trade Practices</b>                         |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                          | 26/8                           | 26/7  | 28/7                               | 28/7                               | 28/7               | 28/7               |
| <b>General Fund</b>  |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 1,259,428                      | 1,537,004   | 1,602,754                          | 1,661,096                          | 1,602,754          | 1,661,096          |
| Other Expenses   | 158,036                        | 151,679   | 161,495                            | 160,476                            | 161,495            | 160,476            |
| <b>Total - General Fund</b>                                  | <b>1,417,464</b>               | <b>1,688,683</b>                                    | <b>1,764,249</b>                   | <b>1,821,572</b>                   | <b>1,764,249</b>   | <b>1,821,572</b>   |
| <b>Federal Contributions</b>                                 |                                |   |                                    |                                    |                    |                    |
| Tsca Title Iv State Lead Grants                              | 16,350                         | 63,650  | 0                                  | 0                                  | 0                  | 0                  |
| Other Federal Assistance                                     | 3,000                          | 3,000   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - Federal Contributions</b>                         | <b>19,350</b>                  | <b>66,650</b>                                       | <b>0</b>                           | <b>0</b>                           | <b>0</b>           | <b>0</b>           |
| <b>Additional Funds Available</b>                            |                                |   |                                    |                                    |                    |                    |
| Private Contributions  | 442,806                        | 511,904   | 486,623                            | 503,792                            | 486,623            | 503,792            |
| <b>Total - All Funds</b>                                     | <b>1,879,620</b>               | <b>2,267,237</b>                                    | <b>2,250,872</b>                   | <b>2,325,364</b>                   | <b>2,250,872</b>   | <b>2,325,364</b>   |
| <b>Regulation of Occupational and Professional Licensing</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF                          | 21/1                           | 21/1  | 21/1                               | 21/1                               | 21/1               | 21/1               |
| <b>General Fund</b>  |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 803,379                        | 1,036,634   | 1,143,168                          | 1,202,561                          | 1,143,168          | 1,202,561          |
| Other Expenses   | 119,764                        | 137,200   | 134,422                            | 132,473                            | 134,422            | 132,473            |
| <b>Total - General Fund</b>                                  | <b>923,143</b>                 | <b>1,173,834</b>                                    | <b>1,277,590</b>                   | <b>1,335,034</b>                   | <b>1,277,590</b>   | <b>1,335,034</b>   |
| <b>Additional Funds Available</b>                            |                                |   |                                    |                                    |                    |                    |
| Private Contributions  | 65,659                         | 70,443  | 75,350                             | 78,266                             | 75,350             | 78,266             |
| <b>Total - All Funds</b>                                     | <b>988,802</b>                 | <b>1,244,277</b>                                    | <b>1,352,940</b>                   | <b>1,413,300</b>                   | <b>1,352,940</b>   | <b>1,413,300</b>   |
| <b>Management Services</b>                                   |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF                             | 53                             | 53  | 53                                 | 53                                 | 53                 | 53                 |
| <b>General Fund</b>  |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 2,701,203                      | 3,280,303   | 3,408,457                          | 3,597,142                          | 3,408,457          | 3,597,142          |
| Other Expenses   | 311,227                        | 304,933   | 342,002                            | 342,651                            | 342,002            | 342,651            |
| Equipment  | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 050 Year 2000 Conversion                                     | 345,323                        | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>                                  | <b>3,358,753</b>               | <b>3,586,236</b>                                    | <b>3,751,459</b>                   | <b>3,940,793</b>                   | <b>3,751,459</b>   | <b>3,940,793</b>   |
| <b>Personal Services Reductions</b>                          |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>  |                                |   |                                    |                                    |                    |                    |
| Personal Services  | 0                              | 0   | -96,311                            | -154,854                           | -96,311            | -154,854           |
| Less: Turnover - Personal Services - GF                      | 0                              | 0   | -32,480                            | -32,480                            | -32,480            | -32,480            |
| <b>EQUIPMENT</b>   |                                |   |                                    |                                    |                    |                    |
| 005 Equipment  | 1,000                          | 1,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                                    | <b>12,571,049</b>              | <b>13,125,203</b>                                   | <b>13,453,176</b>                  | <b>13,980,074</b>                  | <b>13,453,176</b>  | <b>13,980,074</b>  |

**BUDGET CHANGES**

|  | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|-------------------|------------------|-------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>172</b>       | <b>11,108,783</b> | <b>172</b>       | <b>11,108,783</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                   |                  |                   |                   |          |                   |          |
| Personal Services                              | 0                | 401,864           | 0                | 913,065           | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 56,495            | 0                | 84,534            | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 38,500            | 0                | 122,500           | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>496,859</b>    | <b>0</b>         | <b>1,120,099</b>  | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Replace Equipment through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term



|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$38,500 in FY 02 and by \$122,500 in FY 03. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Equipment                   | 0        | -38,500        | 0        | -122,500        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-38,500</b> | <b>0</b> | <b>-122,500</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$24,353 in FY 02 and by \$50,260 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -24,353        | 0        | -50,260        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-24,353</b> | <b>0</b> | <b>-50,260</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Eliminate Vacant Communications Support Services Position - (B)

-(Governor) Eliminating the vacant Lead Consumer Information Representative position in the agency's communication office will result in a savings of \$56,170 in FY 02 and \$59,524 in FY 03.

-(Committee) Same as Governor.

|                             |           |                |           |                |          |          |          |          |
|-----------------------------|-----------|----------------|-----------|----------------|----------|----------|----------|----------|
| Personal Services           | -1        | -56,170        | -1        | -59,524        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>-1</b> | <b>-56,170</b> | <b>-1</b> | <b>-59,524</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|   | Governor's FY 02 |                | Governor's FY 03 |                | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|---|------------------|----------------|------------------|----------------|-------------------|----------|-------------------|----------|
|   | Pos.             | Amount         | Pos.             | Amount         | Pos.              | Amount   | Pos.              | Amount   |
| <b>Delay Pay Increases for Non-Union Employees - (B)</b>  |                  |                |                  |                |                   |          |                   |          |
| State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining. |                  |                |                  |                |                   |          |                   |          |
| -(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$17,271 in FY 02 and \$29,190 in FY 03 by delaying annual salary increases by six months.              |                  |                |                  |                |                   |          |                   |          |
| -(Committee) Same as Governor.  |                  |                |                  |                |                   |          |                   |          |
| Personal Services   | 0                | -17,271        | 0                | -29,190        | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>   | <b>0</b>         | <b>-17,271</b> | <b>0</b>         | <b>-29,190</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Reduce Personal Services Funding through a General Personal Services Cut and by Increasing Turnover - (B)**

Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by one and one half percent (1 ½%) in FY 03, reducing the agency's budget by \$96,311 in FY 02 and by \$154,854 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. Also, the agency's Personal Services account is reduced by \$32,480 in each fiscal year by increasing turnover. The total turnover and Personal Services reductions in the agency are \$128,791 in FY 02 and \$187,334 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. It this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$113,120 in FY 02 and \$117,769 in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -96,311        | 0        | -154,854        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-96,311</b> | <b>0</b> | <b>-154,854</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Reallocate Funding for Other Expenses Underfunding - (B)**

The agency is currently anticipating a shortfall of \$125,000 in its Other Expenses (OE) which will be addressed by a transfer of funding from the agency's personal services account. This is the second year such an action is necessary.

|   | Governor's FY 02 |          | Governor's FY 03 |          | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|---|------------------|----------|------------------|----------|-------------------|----------|-------------------|----------|
|   | Pos.             | Amount   | Pos.             | Amount   | Pos.              | Amount   | Pos.              | Amount   |
| <p>-(Governor) A reallocation of personal services funds of \$74,292 in FY 02 and \$75,091 in FY 03 to other expenses is recommended.</p> <p>-(Committee) Same as Governor.</p> |                  |          |                  |          |                   |          |                   |          |
| Personal Services   | 0                | -74,292  | 0                | -75,091  | 0                 | 0        | 0                 | 0        |
| Other Expenses  | 0                | 74,292   | 0                | 75,091   | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>   | <b>0</b>         | <b>0</b> | <b>0</b>         | <b>0</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

#### Establish Alternative Funding for Lemon Law Arbitration - (B)

The "Lemon Law" was originally predicated on the supposition that automobile manufacturers would establish their own certified arbitration mechanism for the resolution of new automobile consumer disputes. State-run Lemon Law arbitration is statutorily available to consumers only when a manufacturer has not established an independent, certified arbitration process. To date, however, no manufacturer has established its own certified arbitration process.

-(Governor) Funds for operating the state arbitration process should be born by the automobile manufacturers. Thus manufacturers would be required to contribute \$3 for each new vehicle sold in Connecticut to a newly established fund. Thus, three staff and associated funding would be transferred from the General Fund to a restricted private contributions account. This change requires the passage of SB 1156, "AA Providing Alternative Funding for the Consumer Protection Lemon Law Arbitration Program".

-(Committee) Same as Governor.

|                                      |           |                 |           |                 |          |          |          |          |
|--------------------------------------|-----------|-----------------|-----------|-----------------|----------|----------|----------|----------|
| Personal Services                    | -3        | -164,405        | -3        | -170,221        | 0        | 0        | 0        | 0        |
| Other Expenses                       | 0         | -10,502         | 0         | -11,000         | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b>          | <b>-3</b> | <b>-174,907</b> | <b>-3</b> | <b>-181,221</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| Personal Services                    | 3         | 164,405         | 3         | 170,221         | 0        | 0        | 0        | 0        |
| Other Expenses                       | 0         | 10,502          | 0         | 11,000          | 0        | 0        | 0        | 0        |
| <b>Total - Private Contributions</b> | <b>3</b>  | <b>174,907</b>  | <b>3</b>  | <b>181,221</b>  | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Reallocate Funding to Reflect Casino Reimbursements - (B)

The three state agencies that regulate the operation of the casinos, the Department of Public Safety, the Division of Special Revenue and the Department of Consumer Protection, are reimbursed for their expenses by the casinos. The agencies are currently net budgeted for their direct costs (salaries of the personnel), the moneys directly provided to these agencies as a reimbursement to their budgets. However, they are gross budgeted for their indirect costs (other expenses and administrative support and overhead), the moneys deposited to the General Fund as revenue.

-(Governor) Funding is removed in the amount of \$134,918 in FY 02 and \$143,013 in FY 03 to reflect the receipt of reimbursements from the casinos for the department's indirect costs. The department has 7 liquor control officers dedicated to regulating activities at the two casinos. This change requires the passage of HB 6672, "An Act Concerning Indirect Cost Recoveries." It would result in a revenue loss to the General Fund totaling \$1,011,809 in FY 02 and \$1,066,445 in FY 03 (the total amount of budget reductions in the three agencies that regulate the casinos).

|                                | Governor's FY 02 |                 | Governor's FY 03 |                 | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--------------------------------|------------------|-----------------|------------------|-----------------|-------------------|----------|-------------------|----------|
|                                | Pos.             | Amount          | Pos.             | Amount          | Pos.              | Amount   | Pos.              | Amount   |
| -(Committee) Same as Governor. |                  |                 |                  |                 |                   |          |                   |          |
| Personal Services              | 0                | -134,918        | 0                | -143,013        | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>    | <b>0</b>         | <b>-134,918</b> | <b>0</b>         | <b>-143,013</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

#### Increase Staff to Respond to the Arbitrators Ruling - (B)

The Department of Consumer Protection routinely redeployed staff from divisions within the agency to fill vacancies on the hotline. One of the collective bargaining units filed a grievance, claiming staff were being required to work out of class and did not have the skills or training necessary to work on the hotline. The matter went to arbitration.

-(Governor) The arbitrator ruled that five additional staff are needed to operate the hotline. Funding of \$140,000 is recommended in each year of the biennium to fully implement the arbitrators ruling.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 5        | 140,000        | 5        | 140,000        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>5</b> | <b>140,000</b> | <b>5</b> | <b>140,000</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Annualization of Position Reclassification - (B)

-(Governor) Funding of \$231,997 is recommended in each year of the biennium for the annualization of positions resulting from agency reorganization, including the addition of one Supervising Special Investigator position with an associated annual salary of \$65,000.

-(Committee) Same as Governor.

|                             |            |                   |            |                   |          |          |          |          |
|-----------------------------|------------|-------------------|------------|-------------------|----------|----------|----------|----------|
| Personal Services           | 1          | 231,997           | 1          | 231,997           | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>1</b>   | <b>231,997</b>    | <b>1</b>   | <b>231,997</b>    | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| <b>Budget Totals - GF</b>   | <b>174</b> | <b>11,435,209</b> | <b>174</b> | <b>11,860,317</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |
| <b>Budget Totals - OF</b>   | <b>3</b>   | <b>174,907</b>    | <b>3</b>   | <b>181,221</b>    | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

## Commission on Human Rights and Opportunities 2901

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                           | 109                            | 112   | 113                                | 113                                | 113                | 113                |
| Others Equated to Full-Time                   | 1                              | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>OPERATING BUDGET</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                         | 5,277,997                      | 5,225,413   | 6,652,634                          | 6,880,283                          | 5,948,634          | 6,209,383          |
| 002 Other Expenses                            | 606,056                        | 557,449   | 615,367                            | 615,367                            | 568,867            | 568,867            |
| 005 Equipment                                 | 0                              | 3,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 02X Other Current Expenses                    | 741,184                        | 834,021   | 7,000                              | 7,000                              | 922,000            | 962,525            |
| <b>Agency Total - General Fund</b>            | <b>6,625,237</b>               | <b>6,619,883</b>                                    | <b>7,276,001</b>                   | <b>7,503,650</b>                   | <b>7,440,501</b>   | <b>7,741,775</b>   |
| <b>Additional Funds Available</b>             |                                |   |                                    |                                    |                    |                    |
| Federal Contributions                         | 57,519                         | 57,525  | 41,500                             | 41,500                             | 41,500             | 41,500             |
| <b>Agency Grand Total</b>                     | <b>6,682,756</b>               | <b>6,677,408</b>                                    | <b>7,317,501</b>                   | <b>7,545,150</b>                   | <b>7,482,001</b>   | <b>7,783,275</b>   |
| <b>BUDGET BY PROGRAM</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Discrimination &amp; Equal Opportunity</b> |                                |   |                                    |                                    |                    |                    |
| <b>Assurance</b>                              |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF              | 109                            | 112   | 113                                | 113                                | 113                | 113                |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Personal Services                             | 5,277,997                      | 5,225,413   | 6,775,799                          | 7,045,184                          | 6,071,799          | 6,374,284          |
| Other Expenses                                | 606,056                        | 557,449   | 615,367                            | 615,367                            | 568,867            | 568,867            |
| Equipment                                     | 0                              | 3,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| 011 Martin Luther King, Jr. Commission        | 4,897                          | 5,230   | 7,000                              | 7,000                              | 7,000              | 7,000              |
| 012 Human Rights Referees                     | 736,287                        | 828,791   | 0                                  | 0                                  | 915,000            | 955,525            |
| <b>Total - General Fund</b>                   | <b>6,625,237</b>               | <b>6,619,883</b>                                    | <b>7,399,166</b>                   | <b>7,668,551</b>                   | <b>7,563,666</b>   | <b>7,906,676</b>   |
| <b>Federal Contributions</b>                  |                                |   |                                    |                                    |                    |                    |
| State/Local Fair Housing Assist               | 49,818                         | 49,818  | 40,000                             | 40,000                             | 40,000             | 40,000             |
| Employ Discrim-State/Local FEPA               | 7,701                          | 7,707   | 1,500                              | 1,500                              | 1,500              | 1,500              |
| <b>Total - Federal Contributions</b>          | <b>57,519</b>                  | <b>57,525</b>                                       | <b>41,500</b>                      | <b>41,500</b>                      | <b>41,500</b>      | <b>41,500</b>      |
| <b>Total - All Funds</b>                      | <b>6,682,756</b>               | <b>6,677,408</b>                                    | <b>7,440,666</b>                   | <b>7,710,051</b>                   | <b>7,605,166</b>   | <b>7,948,176</b>   |
| <b>Personal Services Reductions</b>           |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Personal Services                             | 0                              | 0   | -63,165                            | -100,901                           | -63,165            | -100,901           |
| Less: Turnover - Personal Services - GF       | 0                              | 0   | -60,000                            | -64,000                            | -60,000            | -64,000            |
| <b>EQUIPMENT</b>                              |                                |   |                                    |                                    |                    |                    |
| 005 Equipment                                 | 0                              | 3,000   | 1,000                              | 1,000                              | 1,000              | 1,000              |
| <b>Agency Grand Total</b>                     | <b>6,682,756</b>               | <b>6,677,408</b>                                    | <b>7,317,501</b>                   | <b>7,545,150</b>                   | <b>7,482,001</b>   | <b>7,783,275</b>   |

**BUDGET CHANGES**

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>112</b>       | <b>6,828,491</b> | <b>112</b>       | <b>6,828,491</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services                              | 0                | 555,397          | 0                | 857,397          | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 2,215            | 0                | 16,275           | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 132,000          | 0                | 144,500          | 0                 | 0        | 0                 | 0        |
| Martin Luther King, Jr. Commission             | 0                | 1,770            | 0                | 1,770            | 0                 | 0        | 0                 | 0        |
| Human Rights Referees                          | 0                | 87,209           | 0                | 129,209          | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>778,591</b>   | <b>0</b>         | <b>1,149,151</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Replace Human Rights Referees with Public Hearing Examiners - (B)**

The Human Rights Referees replaced the part-time Hearing Examiners as stipulated in Public Act 98-245. The salaries of the referees are equivalent to the salaries of chief Family Support Magistrate and Magistrates; the salaries of the magistrates are stipulated in 46b-231, subsection H titled "Salaries of Chief Magistrates and Magistrates."

-(Governor) The governor recommends that the 7 full-time Human Rights Referees in the commission be replaced by 4 full-time Public Hearing Examiners to achieve savings. An amount of \$704,000 is added in FY 02 to Personal Services for the examiners which will be classified positions. These positions were in the position count of the agency but were unfunded. An amount of \$670,900 for Personal Services will be added in FY 03. The governor recommends that \$46,500 be added to Other Expenses in FY 02 and FY 03. Therefore, \$915,000 and \$955,525 will be eliminated from the Human Rights Referees account in FY 02 and FY 03.

-(Committee) The committee restores the 7 Human Rights Referees to the budget of the Commission on Human Rights and Opportunities.

|                             |          |                 |          |                 |          |                |          |                |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------------|----------|----------------|
| Personal Services           | 0        | 704,000         | 0        | 670,900         | 0        | -704,000       | 0        | -670,900       |
| Other Expenses              | 0        | 46,500          | 0        | 46,500          | 0        | -46,500        | 0        | -46,500        |
| Human Rights Referees       | 0        | -915,000        | 0        | -955,525        | 0        | 915,000        | 0        | 955,525        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-164,500</b> | <b>0</b> | <b>-238,125</b> | <b>0</b> | <b>164,500</b> | <b>0</b> | <b>238,125</b> |

**Reduce Personal Services Funding through a General Personal Services Cut - (B)**

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one-half percent (1-1/2%) in FY 03, reducing the agency's budget by \$63,105 in FY 02 and \$100,901 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund. The statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$73,179 in FY 02 and by \$75,683 in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                 |          |          |          |          |
|-----------------------------|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -63,165        | 0        | -100,901        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-63,165</b> | <b>0</b> | <b>-100,901</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|  | Governor's FY 02 |        | Governor's FY 03 |        | Leg. Change FY 02 |        | Leg. Change FY 03 |        |
|--|------------------|--------|------------------|--------|-------------------|--------|-------------------|--------|
|  | Pos.             | Amount | Pos.             | Amount | Pos.              | Amount | Pos.              | Amount |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increase through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$11,201 in FY 02 and \$17,466 in FY 03, by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services           | 0        | -11,201        | 0        | -17,466        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-11,201</b> | <b>0</b> | <b>-17,466</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Inflation Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$12,215 in FY 02 and by \$26,275 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

|                             |          |                |          |                |          |          |          |          |
|-----------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Other Expenses              | 0        | -12,215        | 0        | -26,275        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-12,215</b> | <b>0</b> | <b>-26,275</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Replace Equipment through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 04. Over the last four years, the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$134,000 in FY 02 and by \$146,500 in FY 03. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Corrections, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

|                             |          |                 |          |                 |          |          |          |          |
|-----------------------------|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Equipment                   | 0        | -134,000        | 0        | -146,500        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-134,000</b> | <b>0</b> | <b>-146,500</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

|   | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |                | Leg. Change FY 03 |                |
|---|------------------|------------------|------------------|------------------|-------------------|----------------|-------------------|----------------|
|   | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount         | Pos.              | Amount         |
| <b>Eliminate Other Current Account Expenses for Inflationary Increase From Other Current Expenses Account - (B)</b>   |                  |                  |                  |                  |                   |                |                   |                |
| Inflationary increases were added to the salaries of the Human Rights Referees for FY 02 and 03.  |                  |                  |                  |                  |                   |                |                   |                |
| -(Governor) The governor recommends eliminating \$1,000 in inflationary increases from the Human Rights Reference account for FY 02 and \$2,475 in FY 03 to achieve savings.                          |                  |                  |                  |                  |                   |                |                   |                |
| -(Committee) Same as Governor.  |                  |                  |                  |                  |                   |                |                   |                |
| Human Rights Referees   | 0                | -1,000           | 0                | -2,475           | 0                 | 0              | 0                 | 0              |
| <b>Total - General Fund</b>   | <b>0</b>         | <b>-1,000</b>    | <b>0</b>         | <b>-2,475</b>    | <b>0</b>          | <b>0</b>       | <b>0</b>          | <b>0</b>       |
| <b>Transfer a Position From the Office of Policy and Management - (B)</b>   |                  |                  |                  |                  |                   |                |                   |                |
| The Commission on Human Rights and Opportunity has had a backlog of over 1,600 cases on an annual basis.  |                  |                  |                  |                  |                   |                |                   |                |
| -(Governor) A position and funds of \$55,000 are recommended to be transferred to the commission from OPM in FY 02 to review operational efficiency. An amount of \$57,750 will be transferred FY 03. |                  |                  |                  |                  |                   |                |                   |                |
| -(Committee) Same as Governor.  |                  |                  |                  |                  |                   |                |                   |                |
| Personal Services   | 1                | 55,000           | 1                | 57,750           | 0                 | 0              | 0                 | 0              |
| <b>Total - General Fund</b>   | <b>1</b>         | <b>55,000</b>    | <b>1</b>         | <b>57,750</b>    | <b>0</b>          | <b>0</b>       | <b>0</b>          | <b>0</b>       |
| <b>Budget Totals - GF</b>   | <b>113</b>       | <b>7,276,001</b> | <b>113</b>       | <b>7,503,650</b> | <b>0</b>          | <b>164,500</b> | <b>0</b>          | <b>238,125</b> |



## Office of Protection and Advocacy for Persons with Disabilities 2902

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>POSITION SUMMARY</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                           | 37                             | 37  | 39                                 | 39                                 | 39                 | 39                 |
| Others Equated to Full-Time                   | 8                              | 2   | 1                                  | 1                                  | 1                  | 1                  |
| <b>Additional Funds Available</b>             |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time                           | 11                             | 11  | 11                                 | 11                                 | 11                 | 11                 |
| Others Equated to Full-Time                   | 2                              | 2   | 1                                  | 1                                  | 1                  | 1                  |
| <b>OPERATING BUDGET</b>                       |                                |   |                                    |                                    |                    |                    |
| <b>Appropriated Funds</b>                     |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| 001 Personal Services                         | 2,086,386                      | 2,147,323   | 2,383,783                          | 2,470,155                          | 2,383,783          | 2,470,155          |
| 002 Other Expenses                            | 398,823                        | 407,350   | 428,274                            | 434,547                            | 513,274            | 519,547            |
| 005 Equipment                                 | 1,000                          | 15,837  | 1,800                              | 1,000                              | 1,800              | 1,000              |
| 02X Other Current Expenses                    | 29,334                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Agency Total - General Fund</b>            | <b>2,515,543</b>               | <b>2,570,510</b>                                    | <b>2,813,857</b>                   | <b>2,905,702</b>                   | <b>2,898,857</b>   | <b>2,990,702</b>   |
| <b>Additional Funds Available</b>             |                                |   |                                    |                                    |                    |                    |
| Federal Contributions                         | 953,539                        | 1,012,727   | 1,021,586                          | 971,586                            | 1,021,586          | 971,586            |
| <b>Agency Grand Total</b>                     | <b>3,469,082</b>               | <b>3,583,237</b>                                    | <b>3,835,443</b>                   | <b>3,877,288</b>                   | <b>3,920,443</b>   | <b>3,962,288</b>   |
| <b>BUDGET BY PROGRAM</b>                      |                                |   |                                    |                                    |                    |                    |
| <b>Advocacy for Persons with Disabilities</b> |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF/OF           | 26/11                          | 26/11   | 28/11                              | 28/11                              | 28/11              | 28/11              |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Personal Services                             | 1,527,173                      | 1,594,468   | 1,800,002                          | 1,870,655                          | 1,800,002          | 1,870,655          |
| Other Expenses                                | 284,212                        | 292,540   | 303,914                            | 310,187                            | 388,914            | 395,187            |
| Equipment                                     | 1,000                          | 15,837  | 1,800                              | 1,000                              | 1,800              | 1,000              |
| 050 Year 2000 Conversion                      | 29,334                         | 0   | 0                                  | 0                                  | 0                  | 0                  |
| <b>Total - General Fund</b>                   | <b>1,841,719</b>               | <b>1,902,845</b>                                    | <b>2,105,716</b>                   | <b>2,181,842</b>                   | <b>2,190,716</b>   | <b>2,266,842</b>   |
| <b>Federal Contributions</b>                  |                                |   |                                    |                                    |                    |                    |
| Supported Employment Demonstration            | 120,188                        | 112,504   | 111,511                            | 111,511                            | 111,511            | 111,511            |
| Prg-Protect & Advoc-Indiv Rights              | 85,721                         | 116,578   | 177,186                            | 127,186                            | 177,186            | 127,186            |
| Development Disabil-Support/Advo              | 548,752                        | 622,308   | 571,552                            | 571,552                            | 571,552            | 571,552            |
| Social Services Block Grant                   | 198,878                        | 161,337   | 161,337                            | 161,337                            | 161,337            | 161,337            |
| <b>Total - Federal Contributions</b>          | <b>953,539</b>                 | <b>1,012,727</b>                                    | <b>1,021,586</b>                   | <b>971,586</b>                     | <b>1,021,586</b>   | <b>971,586</b>     |
| <b>Total - All Funds</b>                      | <b>2,795,258</b>               | <b>2,915,572</b>                                    | <b>3,127,302</b>                   | <b>3,153,428</b>                   | <b>3,212,302</b>   | <b>3,238,428</b>   |
| <b>Abuse Investigation Program</b>            |                                |   |                                    |                                    |                    |                    |
| Permanent Full-Time Positions GF              | 11                             | 11  | 11                                 | 11                                 | 11                 | 11                 |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Personal Services                             | 559,213                        | 552,855   | 645,746                            | 676,237                            | 645,746            | 676,237            |
| Other Expenses                                | 114,611                        | 114,810   | 124,360                            | 124,360                            | 124,360            | 124,360            |
| <b>Total - General Fund</b>                   | <b>673,824</b>                 | <b>667,665</b>                                      | <b>770,106</b>                     | <b>800,597</b>                     | <b>770,106</b>     | <b>800,597</b>     |
| <b>Personal Services Reductions</b>           |                                |   |                                    |                                    |                    |                    |
| <b>General Fund</b>                           |                                |   |                                    |                                    |                    |                    |
| Personal Services                             | 0                              | 0   | -22,633                            | -36,225                            | -22,633            | -36,225            |
| Less: Turnover - Personal Services - GF       | 0                              | 0   | -39,332                            | -40,512                            | -39,332            | -40,512            |

|                           | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |
|---------------------------|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|
| <b>EQUIPMENT</b>          |                                |   |                                    |                                    |                    |                    |
| 005 Equipment             | 1,000                          | 15,837  | 1,800                              | 1,000                              | 1,800              | 1,000              |
| <b>Agency Grand Total</b> | <b>3,469,082</b>               | <b>3,583,237</b>                                    | <b>3,835,443</b>                   | <b>3,877,288</b>                   | <b>3,920,443</b>   | <b>3,962,288</b>   |

**BUDGET CHANGES**

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|--|------------------|------------------|------------------|------------------|-------------------|----------|-------------------|----------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount   | Pos.              | Amount   |
| <b>FY 01 Estimated Expenditures - GF</b>       | <b>37</b>        | <b>2,634,704</b> | <b>37</b>        | <b>2,634,704</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |
| <b>Inflation and Non-Program Changes - (B)</b> |                  |                  |                  |                  |                   |          |                   |          |
| Personal Services                              | 0                | 216,441          | 0                | 322,123          | 0                 | 0        | 0                 | 0        |
| Other Expenses                                 | 0                | 3,739            | 0                | 7,803            | 0                 | 0        | 0                 | 0        |
| Equipment                                      | 0                | 36,800           | 0                | 35,000           | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>                    | <b>0</b>         | <b>256,980</b>   | <b>0</b>         | <b>364,926</b>   | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Reduce Personal Services Funding Through a  
General Personal Services Cut and by Increasing  
Turnover - (B)**

Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1 ½%) in FY 03, reducing the agency's budget by \$22,633 in FY 02 and by \$36,225 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. Also, the agency's Personal Services account is reduced by \$19,144 in FY 02 and \$19,826 in FY 03 by increasing turnover. The total turnover and Personal Services reductions in the agency are \$41,777 in FY 02 and \$56,051 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03.

-(Committee) Same as Governor.

|                                    |          |                |          |                |          |          |          |          |
|------------------------------------|----------|----------------|----------|----------------|----------|----------|----------|----------|
| Personal Services                  | 0        | -22,633        | 0        | -36,225        | 0        | 0        | 0        | 0        |
| Less: Turnover - Personal Services | 0        | -19,144        | 0        | -19,826        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b>        | <b>0</b> | <b>-41,777</b> | <b>0</b> | <b>-56,051</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Enhance Funding to Upgrade Position - (B)**

Approximately 7,000 people contact the Office of Protection and Advocacy for Persons with Disabilities (OPA) each year seeking information and referral services and advocacy interventions. The Information and Referral section of the department initially handle almost all of these inquiries.

|   | Governor's FY 02 |              | Governor's FY 03 |              | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|---|------------------|--------------|------------------|--------------|-------------------|----------|-------------------|----------|
|   | Pos.             | Amount       | Pos.             | Amount       | Pos.              | Amount   | Pos.              | Amount   |
| <b>-(Governor)</b> Funding of \$3,000 in FY 02 and FY 03 is recommended to reflect the upgrade of one position. The position of Human Services Advocate would be upgraded to a Lead Information and Referral position. This position upgrade will allow for more coordination and accountability in the Information and Referral Services section of this department. |                  |              |                  |              |                   |          |                   |          |
| <b>-(Committee)</b> Same as Governor.   |                  |              |                  |              |                   |          |                   |          |
| Personal Services   | 0                | 3,000        | 0                | 3,000        | 0                 | 0        | 0                 | 0        |
| <b>Total - General Fund</b>   | <b>0</b>         | <b>3,000</b> | <b>0</b>         | <b>3,000</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

**Enhance Funding in Other Expenses - (B)**

**-(Governor)** Funding of \$5,273 in FY 02 and 11,546 in FY 03 is recommended to reflect increased costs of advocacy contracts in the Other Expenses account.

**-(Committee)** Same as Governor.

|                             |          |              |          |               |          |          |          |          |
|-----------------------------|----------|--------------|----------|---------------|----------|----------|----------|----------|
| Other Expenses              | 0        | 5,273        | 0        | 11,546        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>5,273</b> | <b>0</b> | <b>11,546</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Personal Services Funding Shift - (B)**

**-(Governor)** The governor recommends adding two positions in FY 02 and FY 03 to reflect a reallocation of personal service funds from the position of Assistant Attorney to the Leadership Apprentice position and a Secretary 2 position. The current allocation of the Assistant Attorney position that is funded by the General Fund would be reallocated to federal funds. The savings realized would be used to augment existing funding for the Leadership Apprentice position and also the Secretary 2 position.

**-(Committee)** Same as Governor.

|                             |          |          |          |          |          |          |          |          |
|-----------------------------|----------|----------|----------|----------|----------|----------|----------|----------|
| Personal Services           | 2        | 0        | 2        | 0        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>2</b> | <b>0</b> | <b>2</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

**-(Governor)** Funds are reduced in this agency for the salaries of non-union employees in the amount of \$4,584 in FY 02 and \$9,620 in FY 03 by delaying annual salary increases for six months.

**-(Committee)** Same as Governor.

|                             |          |               |          |               |          |          |          |          |
|-----------------------------|----------|---------------|----------|---------------|----------|----------|----------|----------|
| Personal Services           | 0        | -4,584        | 0        | -9,620        | 0        | 0        | 0        | 0        |
| <b>Total - General Fund</b> | <b>0</b> | <b>-4,584</b> | <b>0</b> | <b>-9,620</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Replace Equipment Through the Capital Equipment Purchase Fund - (B)**

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last

|  | Governor's FY 02 |                  | Governor's FY 03 |                  | Leg. Change FY 02 |               | Leg. Change FY 03 |               |
|--|------------------|------------------|------------------|------------------|-------------------|---------------|-------------------|---------------|
|  | Pos.             | Amount           | Pos.             | Amount           | Pos.              | Amount        | Pos.              | Amount        |
| four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.  |                  |                  |                  |                  |                   |               |                   |               |
| -(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$36,000 in FY 02 and by \$35,000 in FY 03. Equipment funding in the amount of \$1,800 in FY 02 \$1,00 in FY 03 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03.  |                  |                  |                  |                  |                   |               |                   |               |
| -(Committee) Same as Governor.   |                  |                  |                  |                  |                   |               |                   |               |
| Equipment  | 0                | -36,000          | 0                | -35,000          | 0                 | 0             | 0                 | 0             |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>-36,000</b>   | <b>0</b>         | <b>-35,000</b>   | <b>0</b>          | <b>0</b>      | <b>0</b>          | <b>0</b>      |
| <b>Eliminate Inflationary Increase - (B)</b>   |                  |                  |                  |                  |                   |               |                   |               |
| The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.   |                  |                  |                  |                  |                   |               |                   |               |
| -(Governor) Funding for inflationary increases in Other Expenses is eliminated. This reduces the agency's funding by \$3,739 in FY 02 and by \$7,803 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.  |                  |                  |                  |                  |                   |               |                   |               |
| -(Committee) Same as Governor.   |                  |                  |                  |                  |                   |               |                   |               |
| Other Expenses   | 0                | -3,739           | 0                | -7,803           | 0                 | 0             | 0                 | 0             |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>-3,739</b>    | <b>0</b>         | <b>-7,803</b>    | <b>0</b>          | <b>0</b>      | <b>0</b>          | <b>0</b>      |
| <b>Enhance Funding for Citizen Advocacy - (B)</b>  |                  |                  |                  |                  |                   |               |                   |               |
| -(Committee) Funding of \$10,000 is provided in FY 02 and FY 03 for citizen advocacy programs. Funding will support the Thames Area Citizen Advocacy Program (TACAP) to develop and maintain one-to-one matches between unpaid volunteer advocates and people who are in vulnerable circumstances. Citizen Advocacy programs that contract with the Office of Protection and Advocacy for Persons with Disabilities (OP&A) abide by national standards. Citizen Advocacy Program Evaluation (CAPE) standards are the accepted principles in order to ensure the presence of certain key safeguards in meeting program success. |                  |                  |                  |                  |                   |               |                   |               |
| Other Expenses   | 0                | 0                | 0                | 0                | 0                 | 10,000        | 0                 | 10,000        |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>0</b>         | <b>0</b>         | <b>0</b>         | <b>0</b>          | <b>10,000</b> | <b>0</b>          | <b>10,000</b> |
| <b>Provide Funding for Self Advocacy - (B)</b>   |                  |                  |                  |                  |                   |               |                   |               |
| -(Committee) Funding of \$75,000 is provided in both FY 02 and FY 03 to support People First of Connecticut. Funding will enhance self-advocacy for people with disabilities throughout Connecticut.   |                  |                  |                  |                  |                   |               |                   |               |
| Other Expenses   | 0                | 0                | 0                | 0                | 0                 | 75,000        | 0                 | 75,000        |
| <b>Total - General Fund</b>  | <b>0</b>         | <b>0</b>         | <b>0</b>         | <b>0</b>         | <b>0</b>          | <b>75,000</b> | <b>0</b>          | <b>75,000</b> |
| <b>Budget Totals - GF</b>  | <b>39</b>        | <b>2,813,857</b> | <b>39</b>        | <b>2,905,702</b> | <b>0</b>          | <b>85,000</b> | <b>0</b>          | <b>85,000</b> |

[1] FY 01 funding of \$60,000 is transferred from this agency (Personal Services and Other Expenses) to the Department of Social Services for Medicaid in accordance with Section 19 of HB 6669 (the Deficiency Bill). The funding represents the agency's FY 01 Personal Services and Other Expenses holdbacks and allotment reduction.

## Workers' Compensation Commission 2904

|   | Actual<br>Expenditure<br>FY 00 | Estimated<br>Expenditure<br>FY 01<br>(as of 2/2001) | Governor's<br>Recommended<br>FY 02 | Governor's<br>Recommended<br>FY 03 | Committee<br>FY 02 | Committee<br>FY 03 |                   |        |
|---|--------------------------------|---|------------------------------------|------------------------------------|--------------------|--------------------|-------------------|--------|
| POSITION SUMMARY                          |                                |   |                                    |                                    |                    |                    |                   |        |
| Appropriated Funds                        |                                |   |                                    |                                    |                    |                    |                   |        |
| Workers' Compensation Fund                |                                |   |                                    |                                    |                    |                    |                   |        |
| Permanent Full-Time                       | 159                            | 160   | 160                                | 160                                | 160                | 160                |                   |        |
| Others Equated to Full-Time               | 12                             | 11  | 11                                 | 11                                 | 11                 | 11                 |                   |        |
| OPERATING BUDGET                          |                                |   |                                    |                                    |                    |                    |                   |        |
| Appropriated Funds                        |                                |   |                                    |                                    |                    |                    |                   |        |
| Workers' Compensation Fund                |                                |   |                                    |                                    |                    |                    |                   |        |
| 001 Personal Services                     | 8,209,659                      | 8,580,000   | 9,358,437                          | 9,867,856                          | 9,358,437          | 9,867,856          |                   |        |
| 002 Other Expenses                        | 2,892,963                      | 3,475,000   | 3,545,576                          | 3,554,183                          | 3,545,576          | 3,554,183          |                   |        |
| 005 Equipment                             | 137,350                        | 69,600  | 125,076                            | 365,500                            | 125,076            | 365,500            |                   |        |
| 02X Other Current Expenses                | 7,577,257                      | 8,930,097   | 5,485,889                          | 5,701,304                          | 9,908,877          | 10,242,444         |                   |        |
| Agency Total - Workers' Compensation Fund | 18,817,229                     | 21,054,697  | 18,514,978                         | 19,488,843                         | 22,937,966         | 24,029,983         |                   |        |
| Agency Grand Total                        | 18,817,229                     | 21,054,697  | 18,514,978                         | 19,488,843                         | 22,937,966         | 24,029,983         |                   |        |
| BUDGET BY PROGRAM                         |                                |   |                                    |                                    |                    |                    |                   |        |
| Workers' Compensation Commission          |                                |   |                                    |                                    |                    |                    |                   |        |
| Permanent Full-Time Positions WF          | 159                            | 160   | 160                                | 160                                | 160                | 160                |                   |        |
| Workers' Compensation Fund                |                                |   |                                    |                                    |                    |                    |                   |        |
| Personal Services                         | 8,209,659                      | 8,580,000   | 9,674,286                          | 10,244,998                         | 9,674,286          | 10,244,998         |                   |        |
| Other Expenses                            | 2,892,963                      | 3,475,000   | 3,545,576                          | 3,554,183                          | 3,545,576          | 3,554,183          |                   |        |
| Equipment                                 | 137,350                        | 69,600  | 125,076                            | 365,500                            | 125,076            | 365,500            |                   |        |
| 011 Criminal Justice Fraud Unit           | 436,987                        | 300,097   | 450,097                            | 450,097                            | 450,097            | 450,097            |                   |        |
| 012 Rehabilitative Services               | 3,106,129                      | 4,500,000   | 0                                  | 0                                  | 4,422,988          | 4,541,140          |                   |        |
| 040 Fringe Benefits                       | 3,035,702                      | 2,980,000   | 3,489,637                          | 3,637,683                          | 3,489,637          | 3,637,683          |                   |        |
| 045 Indirect Overhead                     | 998,439                        | 1,150,000   | 1,546,155                          | 1,613,524                          | 1,546,155          | 1,613,524          |                   |        |
| Total - Workers' Compensation Fund        | 18,817,229                     | 21,054,697  | 18,830,827                         | 19,865,985                         | 23,253,815         | 24,407,125         |                   |        |
| Personal Services Reductions              |                                |   |                                    |                                    |                    |                    |                   |        |
| Workers' Compensation Fund                |                                |   |                                    |                                    |                    |                    |                   |        |
| Personal Services                         | 0                              | 0   | -88,856                            | -144,714                           | -88,856            | -144,714           |                   |        |
| Less: Turnover - Personal Services - WF   | 0                              | 0   | -226,993                           | -232,428                           | -226,993           | -232,428           |                   |        |
| EQUIPMENT                                 |                                |   |                                    |                                    |                    |                    |                   |        |
| 005 Equipment                             | 137,350                        | 69,600  | 125,076                            | 365,500                            | 125,076            | 365,500            |                   |        |
| Agency Grand Total                        | 18,817,229                     | 21,054,697  | 18,514,978                         | 19,488,843                         | 22,937,966         | 24,029,983         |                   |        |
| BUDGET CHANGES                            |                                |   |                                    |                                    |                    |                    |                   |        |
|   | Governor's FY 02               |   | Governor's FY 03                   |                                    | Leg. Change FY 02  |                    | Leg. Change FY 03 |        |
|   | Pos.                           | Amount  | Pos.                               | Amount                             | Pos.               | Amount             | Pos.              | Amount |
| FY 01 Estimated Expenditures - WF         | 160                            | 21,730,554  | 160                                | 21,730,554                         | 0                  | 0                  | 0                 | 0      |
| Inflation and Non-Program Changes - (B)   |                                |   |                                    |                                    |                    |                    |                   |        |
| Personal Services                         | 0                              | 821,904   | 0                                  | 1,387,366                          | 0                  | 0                  | 0                 | 0      |
| Other Expenses                            | 0                              | 283,914   | 0                                  | 380,905                            | 0                  | 0                  | 0                 | 0      |
| Criminal Justice Fraud Unit               | 0                              | 10,352  | 0                                  | 21,403                             | 0                  | 0                  | 0                 | 0      |
| Rehabilitative Services                   | 0                              | 350,683   | 0                                  | 468,835                            | 0                  | 0                  | 0                 | 0      |
| Fringe Benefits                           | 0                              | 422,360   | 0                                  | 570,406                            | 0                  | 0                  | 0                 | 0      |
| Indirect Overhead                         | 0                              | 236,196   | 0                                  | 303,565                            | 0                  | 0                  | 0                 | 0      |
| Total - Workers' Compensation Fund        | 0                              | 2,125,409   | 0                                  | 3,132,480                          | 0                  | 0                  | 0                 | 0      |

| Governor's FY 02 | Governor's FY 03 | Leg. Change FY 02 | Leg. Change FY 03 |
|------------------|------------------|-------------------|-------------------|
| Pos.      Amount | Pos.      Amount | Pos.      Amount  | Pos.      Amount  |

**Decrease FY 01 Appropriations for the WC Commission - (B)**

-(Governor) HB 6669, "An Act Making Deficiency Appropriations and Transferring Funds for Agencies with Projected Deficiencies for the Fiscal Year Ending June 30, 2001" reduces FY 01 appropriations for the Workers' Compensation Commission in the following accounts: Section 11 reduces Personal Services by \$200,000; Section 12 reduces Other Expenses by \$184,000; and Section 13 reduces Rehabilitative Services by \$240,000. The total reductions are \$624,000. Moneys are available due to holdbacks in these accounts required by the Office of Policy and Management in order to keep expenditures beneath the spending cap.

-(Committee) Same as Governor.

**Add Funding for Equipment - (B)**

Equipment is the account that finances the purchase of fixed assets valued at over \$1,000.

-(Governor) Additional equipment funds are provided in the amount of \$55,476 in FY 02 and \$295,900 in FY 03. This increases total funding for equipment to \$125,076 in FY 02 and \$365,500 in FY 03. All of these funds, except for \$8,000 in each fiscal year are for information technology equipment, computers and telecommunications systems. The FY 02 equipment items include replacing the telephone system in the Chairman's office (\$65,576), 21 printers (\$24,500), a network router (\$15,000), a LAN server (\$12,000), and a Judge's bench (\$8,000). The FY 03 equipment items include replacing 200 workstations (\$300,000), a network router (\$33,000), 21 printers (\$24,500), and a Judge's bench (\$8,000).

-(Committee) Same as Governor.

|   |          |               |          |                |          |          |          |          |
|---|----------|---------------|----------|----------------|----------|----------|----------|----------|
| Equipment                                 | 0        | 55,476        | 0        | 295,900        | 0        | 0        | 0        | 0        |
| <b>Total - Workers' Compensation Fund</b> | <b>0</b> | <b>55,476</b> | <b>0</b> | <b>295,900</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Restore Funding for Rehabilitative Services Program - (B)**

The Workers' Compensation Commission (WCC) is required to provide rehabilitation programs for employees suffering compensable injuries, per CGS Section 31-283a. The Rehabilitation Services unit utilizes trained professionals to evaluate the circumstances surrounding the disabilities of injured workers with permanent physical restrictions toward the ultimate goal of reemployment. The unit utilizes guidance and testing to provide the education and/or training programs best suited to enable their return to productive employment as expeditiously as possible. In 1999, over 4,300 permanently injured workers applied for rehabilitation services training. Of the 1,130 who were accepted into the program, 854 (75.6%) returned to work.

-(Governor) Funding for the Rehabilitative Services program is eliminated due to the limitations of the spending cap and the restoration of the 4% cap on Workers' Compensation Administration Fund (WCAF) annual assessments. The 15 commission employees working in the Rehabilitative Services program remain in the budget at an approximate cost of \$1.35 million per year (salaries of \$972,000 and fringe benefits of \$382,000). It is assumed that some of these injured

|   | Governor's FY 02 |                   | Governor's FY 03 |                   | Leg. Change FY 02 |                  | Leg. Change FY 03 |                  |
|---|------------------|-------------------|------------------|-------------------|-------------------|------------------|-------------------|------------------|
|   | Pos.             | Amount            | Pos.             | Amount            | Pos.              | Amount           | Pos.              | Amount           |
| workers will be eligible for training programs from expanded workforce development initiatives through the Office of Workforce Competitiveness and the Department of Labor. The passage of SB 1162, "An Act Concerning Rehabilitation Programs for Certain Employees" is required to implement this. This bill allows the commission to provide rehabilitation programs within available appropriations, rather than requiring the commission to provide such programs. Funding would have to be obtained from federal grants or other sources. It should be noted that funding for the Occupational Health Clinics funded through the WCAF in the Department of Labor is also eliminated. The passage of HB 6714, "An Act Eliminating Grants for Occupational Health Clinics" is required to implement this.   |                  |                   |                  |                   |                   |                  |                   |                  |
| <b>-(Committee)</b> Funding for the Rehabilitative Services program is continued due the significant benefit that it provides to both injured workers and employers, but at a slightly reduced level. This restores funding in the amounts of \$4,422,988 in FY 02 and \$4,541,140 in FY 03. (It should be noted that funding for the Occupational Health Clinics in the Department of Labor is also restored, in the amounts of \$690,244 in FY 02 and \$706,810 in FY 03. This is funded by the Workers' Compensation Administration Fund.) This requires the passage of SB 1274, which would continue to allow the agency to assess for funding at up to 4½% for the next two years. The cap is required by CGS Section 31-345(b)(2), but was increased to a limit of 5% for two years by PA 99-214. It is estimated that the agency will levy an assessment of about 4.2% in 2001 and about 4.5% in 2002. |                  |                   |                  |                   |                   |                  |                   |                  |
| Rehabilitative Services   | 0                | -4,922,988        | 0                | -5,041,140        | 0                 | 4,422,988        | 0                 | 4,541,140        |
| <b>Total - Workers' Compensation Fund</b>   | <b>0</b>         | <b>-4,922,988</b> | <b>0</b>         | <b>-5,041,140</b> | <b>0</b>          | <b>4,422,988</b> | <b>0</b>          | <b>4,541,140</b> |

**Continue Allotment Reductions - (B)**

In FY 01, the governor implemented allotment reductions totaling \$48.5 million statewide in various accounts and programs, in order to keep state expenditures beneath the spending cap.

**-(Governor)** Allotment reductions are continued for this agency. An amount of \$122,338 is removed from Personal Services by increasing turnover, and \$184,000 is removed from Other Expenses in both FY 02 and FY 03. Statewide, the continued allotment reductions are over \$9.4 million, including almost \$6.5 million in the General Fund and \$2.5 million in the Special Transportation Fund.

**-(Committee)** Same as Governor.

|   |          |                 |          |                 |          |          |          |          |
|---|----------|-----------------|----------|-----------------|----------|----------|----------|----------|
| Other Expenses                            | 0        | -184,000        | 0        | -184,000        | 0        | 0        | 0        | 0        |
| Less: Turnover - Personal Services        | 0        | -122,338        | 0        | -122,338        | 0        | 0        | 0        | 0        |
| <b>Total - Workers' Compensation Fund</b> | <b>0</b> | <b>-306,338</b> | <b>0</b> | <b>-306,338</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Eliminate Inflationary Increases - (B)**

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

|   | Governor's FY 02 |                | Governor's FY 03 |                 | Leg. Change FY 02 |          | Leg. Change FY 03 |          |
|---|------------------|----------------|------------------|-----------------|-------------------|----------|-------------------|----------|
|   | Pos.             | Amount         | Pos.             | Amount          | Pos.              | Amount   | Pos.              | Amount   |
| <p>-(Governor) Funding for inflationary increases in Other Expenses and the Criminal Justice Fraud Unit is eliminated. This reduces the agency's funding by \$75,239 in FY 02 and by \$174,674 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.</p> |                  |                |                  |                 |                   |          |                   |          |
| <p>-(Committee) Same as Governor.</p>   |                  |                |                  |                 |                   |          |                   |          |
| Other Expenses  | 0                | -64,887        | 0                | -153,271        | 0                 | 0        | 0                 | 0        |
| Criminal Justice Fraud Unit   | 0                | -10,352        | 0                | -21,403         | 0                 | 0        | 0                 | 0        |
| <b>Total - Workers' Compensation Fund</b>   | <b>0</b>         | <b>-75,239</b> | <b>0</b>         | <b>-174,674</b> | <b>0</b>          | <b>0</b> | <b>0</b>          | <b>0</b> |

#### Reduce Personal Services Funding through a General Personal Services Cut - (B)

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1½%) in FY 03, reducing the agency's budget by \$88,856 in FY 02 and by \$144,714 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The total turnover, Personal Services reductions, and Personal Services allotment reductions in the agency are \$315,849 in FY 02 and \$377,142 in FY 03.

-(Committee) Same as Governor.

|   |          |                |          |                 |          |          |          |          |
|---|----------|----------------|----------|-----------------|----------|----------|----------|----------|
| Personal Services                         | 0        | -88,856        | 0        | -144,714        | 0        | 0        | 0        | 0        |
| <b>Total - Workers' Compensation Fund</b> | <b>0</b> | <b>-88,856</b> | <b>0</b> | <b>-144,714</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$3,040 in FY 02 and \$3,225 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

|   |            |                   |            |                   |          |                  |          |                  |
|---|------------|-------------------|------------|-------------------|----------|------------------|----------|------------------|
| Personal Services                         | 0          | -3,040            | 0          | -3,225            | 0        | 0                | 0        | 0                |
| <b>Total - Workers' Compensation Fund</b> | <b>0</b>   | <b>-3,040</b>     | <b>0</b>   | <b>-3,225</b>     | <b>0</b> | <b>0</b>         | <b>0</b> | <b>0</b>         |
| <b>Budget Totals - WF</b>                 | <b>160</b> | <b>18,514,978</b> | <b>160</b> | <b>19,488,843</b> | <b>0</b> | <b>4,422,988</b> | <b>0</b> | <b>4,541,140</b> |

[1] The Workers' Compensation Commission is financed by the Workers' Compensation Administration Fund, which also funds the Occupational Health Clinics account in the Department of Labor. It is funded through an annual assessment on insurance companies that provide workers' compensation insurance and on self-insured employers in Connecticut.